

Tab 1

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CIM Initiatives in a Volatile Environment

Belkis Leong-Hong
Director, Corporate Information
Management, Office of the
Deputy Comptroller for IRM,
Department of Defense



Belkis Leong-Hong
Director, Corporate Information Management
Deputy Comptroller (Information Resources
Management)
Office of the DoD Comptroller

PROFILE

Mrs. Belkis Leong-Hong was selected as the Director for Corporate Information Management (CIM) to lead this new DoD-wide effort, following the establishment of the CIM initiative by Deputy Secretary of Defense Donald Atwood in October 1989. Mrs. Leong-Hong is responsible for implementing and directing Functional Information Management under the DoD CIM initiative. This initiative has as a major purpose to improve the standardization, quality, and consistency of data throughout the Department.

She served as Director for Policies and Standards in the Office of Secretary of Defense, Deputy Comptroller's Office for Information Resources Management, from September 1988 to October 1989. In that position, Mrs. Leong-Hong was responsible for developing and implementing the full spectrum of DoD-wide policies, procedures, and standards for information resources management (IRM), including automated information systems life cycle management, management of general-purpose information technology, and information processing standards.

Mrs. Leong-Hong joined the Office of Assistant Secretary of Defense (Comptroller) in 1981 as a data base administrator. From 1970 to 1981 she held various research and development positions as a computer scientist with the Institute of Computer Sciences and Technology at the National Bureau of Standards. Her professional areas of focus include data base management technology, data administration, data dictionary systems, data modelling, software tools and methodologies, and information systems standards. Mrs. Leong-Hong has published more than 30 technical articles and reports—including the book *Data Dictionary/Directory Systems: Administration, Implementation, and Usage*, published by John Wiley & Sons, NY, in 1982—and contributions to *Government Computer News* from 1982 to 1985. She was a "Distinguished IEEE Visitor" from 1981 to 1984 and a founding member and leader of the ANSI accredited standards development committee X3H4, responsible for developing a standard Information Resources Dictionary System. She has served as a principal voting member in several standards management organizations including X3, Standards Management



Committee, and Information Standards Systems Board.

Mrs. Leong-Hong holds a B.A. in Mathematics from Hunter College and an M.A. in Public Administration from American University. She has completed graduate work in Computer Science, as well as executive management programs at the Federal Executive Institute and the George Washington University.

She and her husband Kenneth have one daughter, Denise.



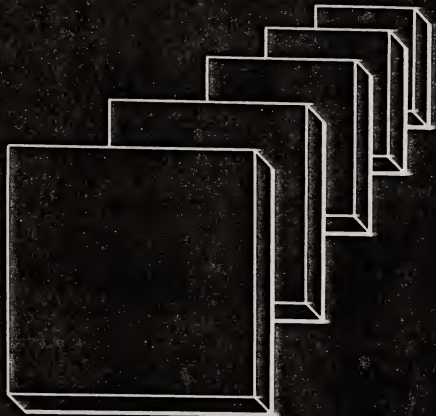


OMB Views the IRM Budget

Robert N. Veeder
Acting Branch Chief,
Information Policy Branch,
Office of Information and
Regulatory Affairs, Office of
Management and Budget



**A FIVE-YEAR PLAN
FOR MEETING THE
AUTOMATIC DATA PROCESSING
AND TELECOMMUNICATIONS NEEDS
OF THE FEDERAL GOVERNMENT**



November 1990

*Office of
Management
and Budget*

*U.S.
General Services
Administration*

*U.S.
Department
of Commerce*

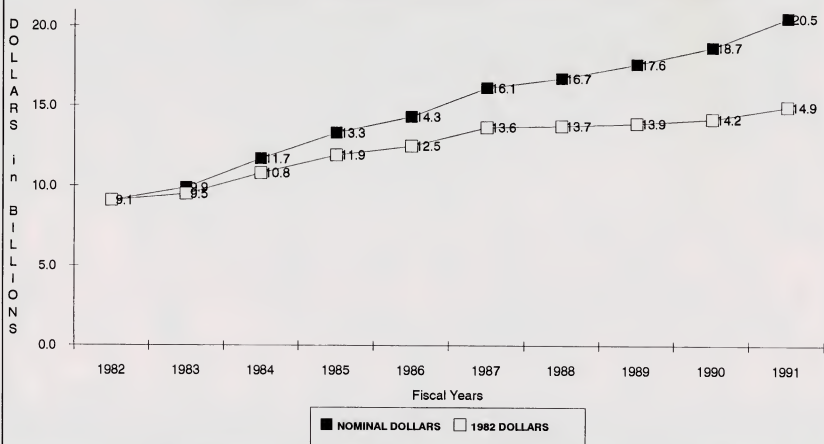


The Five Year Plan

- o Encourage Agencies to Plan
- o Support Oversight
- o Forecasting
- o Inform the Public
- o Help Industry Identify Opportunities

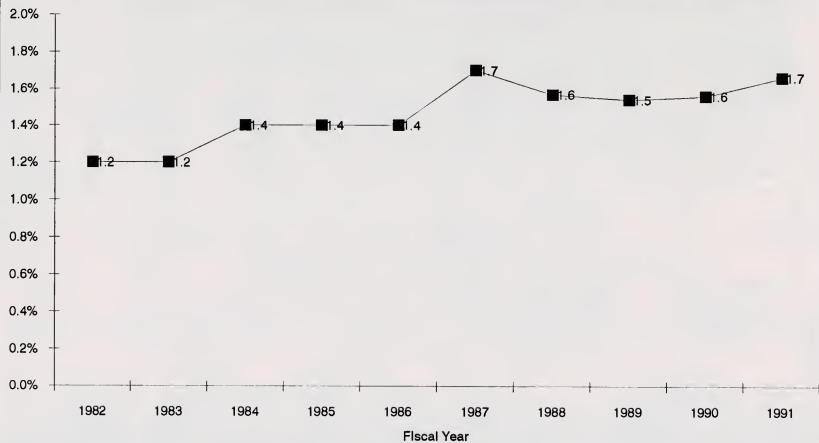


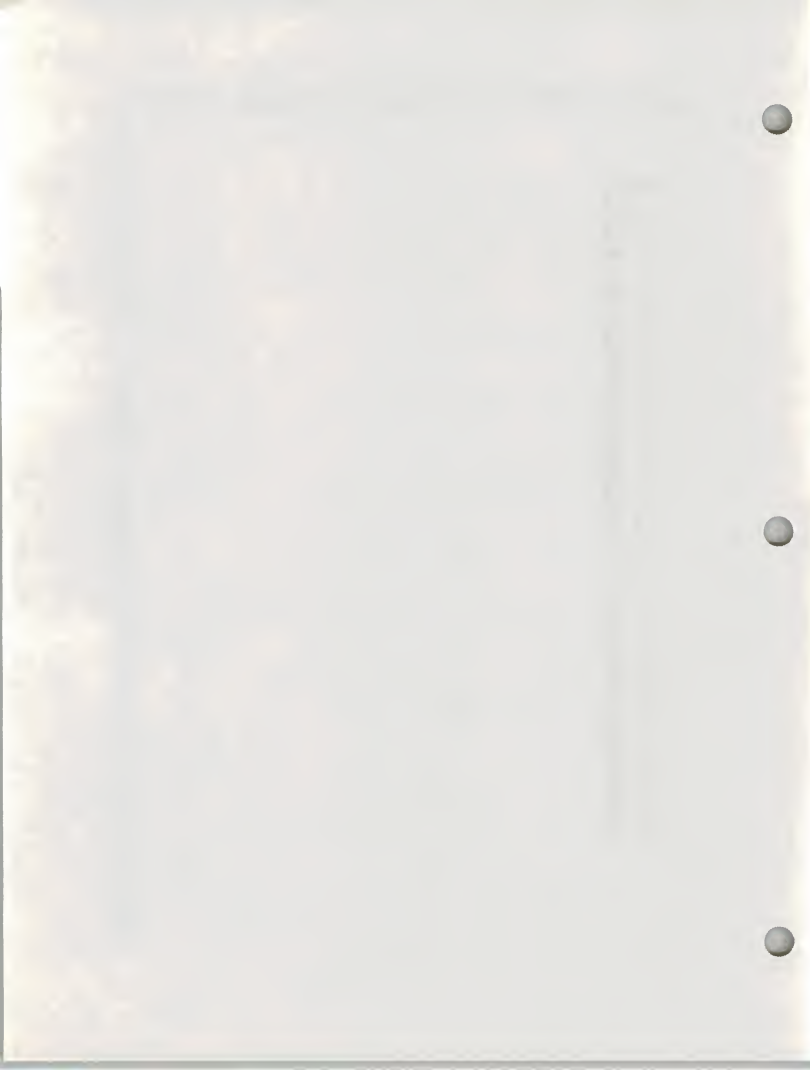
Historical Information Technology Budget Growth



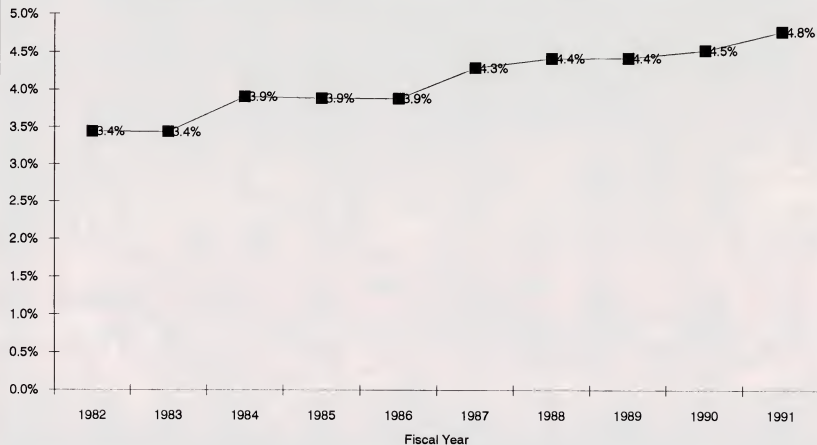


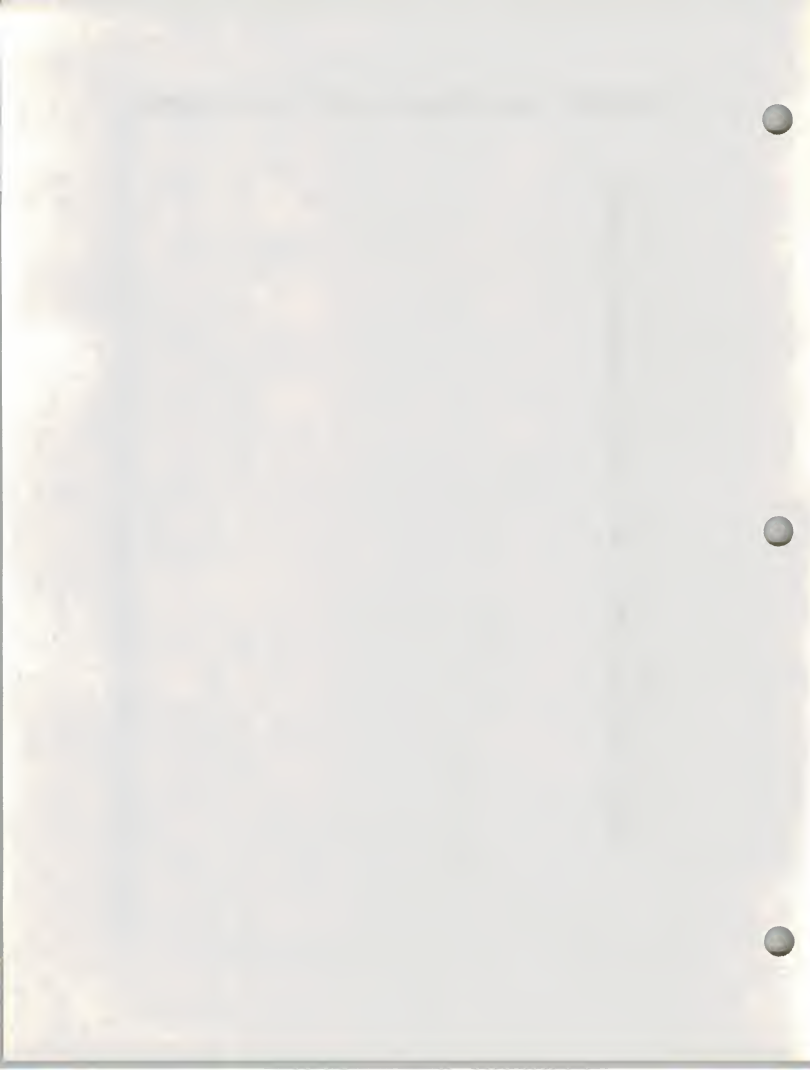
Information Technology as a Percentage of the Federal Budget



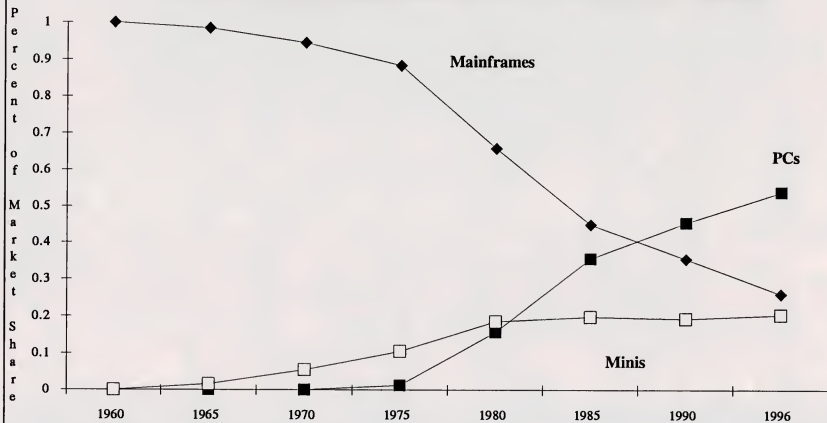


Information Technology as a Percentage of the Federal Operating Budget





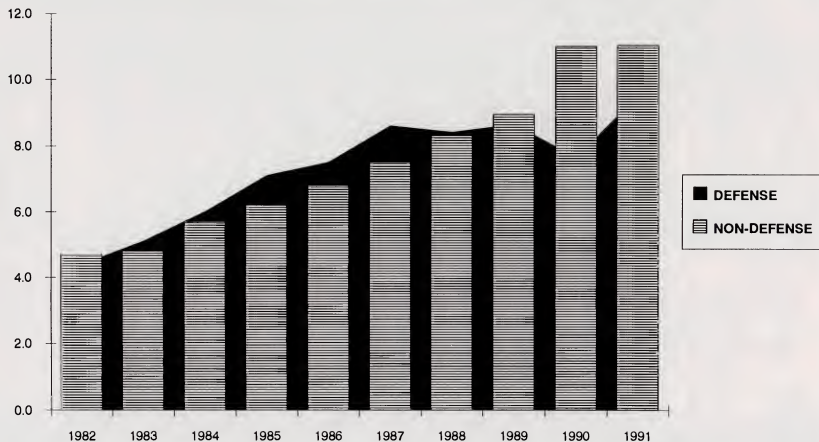
U.S. DOMESTIC CONSUMPTION - MAINFRAMES, MINIS, AND PCS

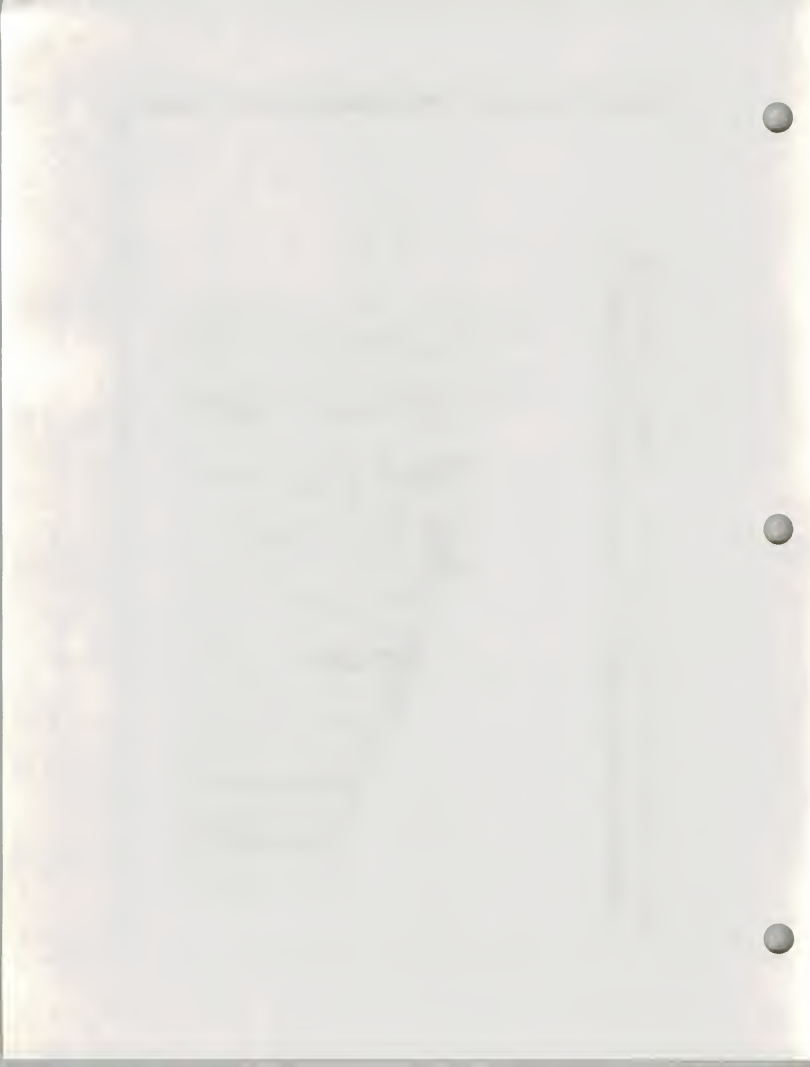


Source: Computer and Business Equipment Manufacturers Assoc.

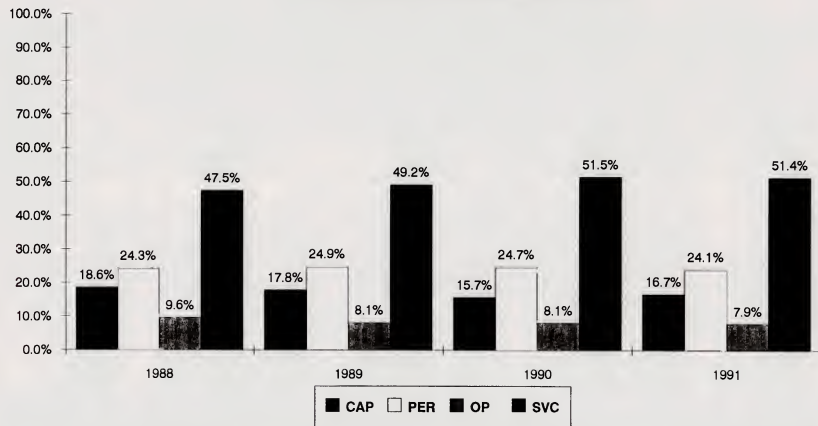


Defense Versus Non-Defense Information Technology Spending





FEDERAL GOVERNMENT IT BUDGET by Major Category



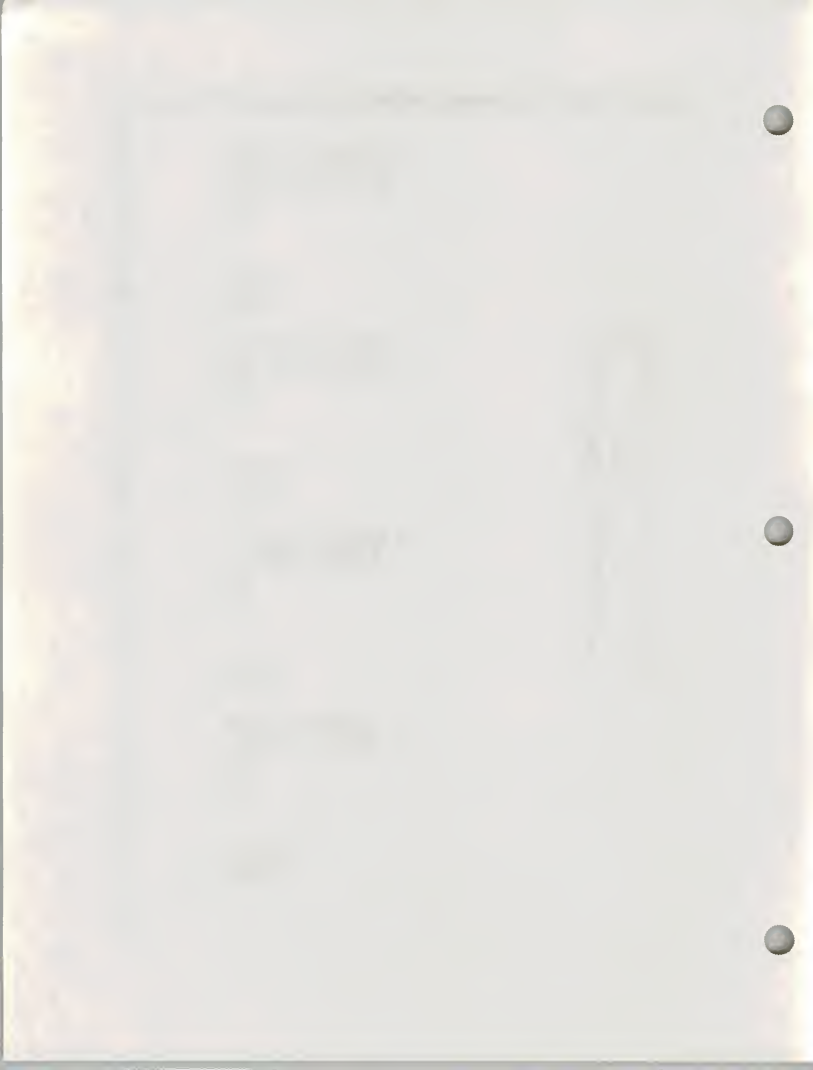
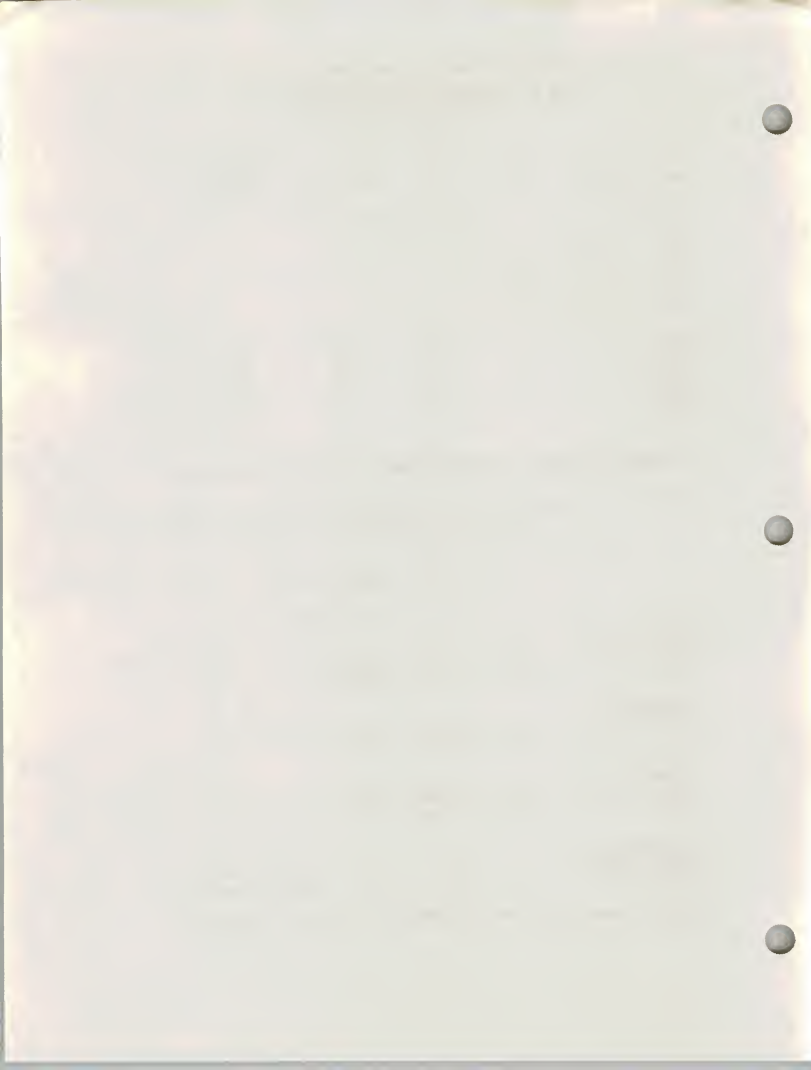


TABLE 1. Original and Revised Deficit Targets
(amounts in billions of dollars)

Fiscal year	1985 Law	1987 Law	House Bill	Senate Amendment	Conference Agreement
1986	171.9				
1987	144				
1988	108	144			
1989	72	136			
1990	36	100			
1991	0	64	302.3	242	327
1992		28	276.8	219	317
1993		0	189.7	165	236
1994			58.1	86	102
1995			18.7	62	83

TABLE 2. Discretionary Spending Limits: Fiscal Years 1991-1995
(amounts in billions of dollars)

	FY1991	FY1992	FY1993	FY1994	FY1995
<u>Defense</u>					
Budget Authority	288.918	291.643	291.785	—	—
Outlays	297.660	295.744	292.686	—	—
<u>International</u>					
Budget Authority	20.100	20.500	21.400	—	—
Outlays	18.600	19.100	19.600	—	—
<u>Domestic</u>					
Budget Authority	182.700	191.300	198.300	—	—
Outlays	198.100	210.100	221.700	—	—
<u>All Categories</u>					
Budget Authority	—	—	—	510.800	517.700
Outlays	—	—	—	534.800	540.800

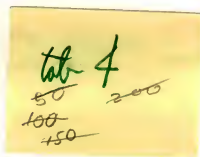


Robert N. Veeder
Acting Chief of the Information Policy Branch
OMB's Office of Information and Regulatory Affairs

PROFILE

Robert N. Veeder is the Acting Chief of the Information Policy Branch of OMB's Office of Information and Regulatory Affairs. His primary focus has been on information dissemination, access, and data protection issues, especially relating to the Freedom of Information Act (FOIA) and the Privacy Act of 1974. He is the author of OMB's government-wide guidelines on the 1987 FOIA fee amendments as well as guidance which implements the provisions of the Computer Matching and Privacy Protection Act of 1988. In addition, he has managed the development and issuance of a number of editions of OMB's Five Year Plan for Meeting the Automatic Data Processing and Telecommunications Needs of the Federal Government. He serves as a co-chair of the Federal Information Resources Management Policy Council and is an associate member of the Systems Committee of the President's Council on Management Improvement. He is a graduate of Yale University and holds an MPA degree from The American University.





Quality Assurance at the IRS

Margaret O'Rourke
Assistant Director, Quality
Assurance Division,
Internal Revenue Service



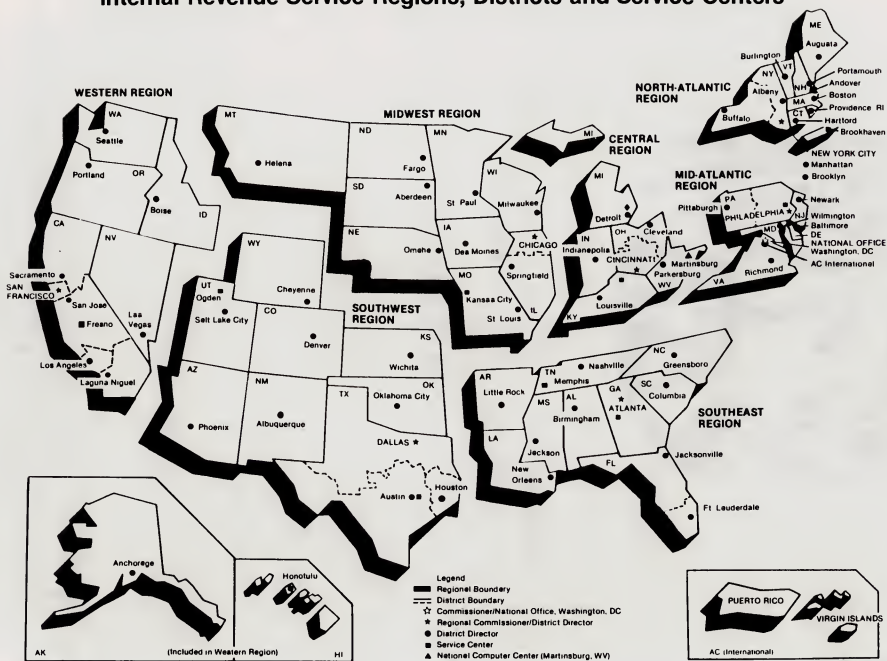


INPUT FEDERAL INFORMATION SYSTEMS
AND SERVICES CONFERENCE

NOVEMBER 28, 1990

MARGARET M. O'ROURKE
ASSISTANT DIRECTOR, QUALITY ASSURANCE DIV.
INTERNAL REVENUE SERVICE

Internal Revenue Service Regions, Districts and Service Centers





**Internal
Revenue
Service**

Mission

The purpose of the IRS is to collect the proper amount of tax revenues at the least cost to the public, and in a manner that warrants the highest degree of public confidence in our integrity, efficiency and fairness. To achieve that purpose, we will:

Encourage and achieve the highest possible degree of voluntary compliance in accordance with the tax law and regulations;

Advise the public of their rights and responsibilities;

Determine the extent of compliance and the causes of noncompliance;

Do all things needed for the proper administration and enforcement of the tax laws;

Continually search for and implement new, more efficient and effective ways of accomplishing our Mission.



Internal
Revenue
Service

Mission

The purpose of the Internal Revenue Service is to collect the proper amount of tax revenue at the least cost; serve the public by continually improving the quality of our products and services; and perform in a manner warranting the highest degree of public confidence in our integrity, efficiency and fairness.



Principles of Quality

Establish a quality climate where quality is first among equals with schedule and cost;

Emphasize product and service quality by eliminating systemic flaws during the planning, implementation and operational processes;

Improve responsiveness to the public and other service components;

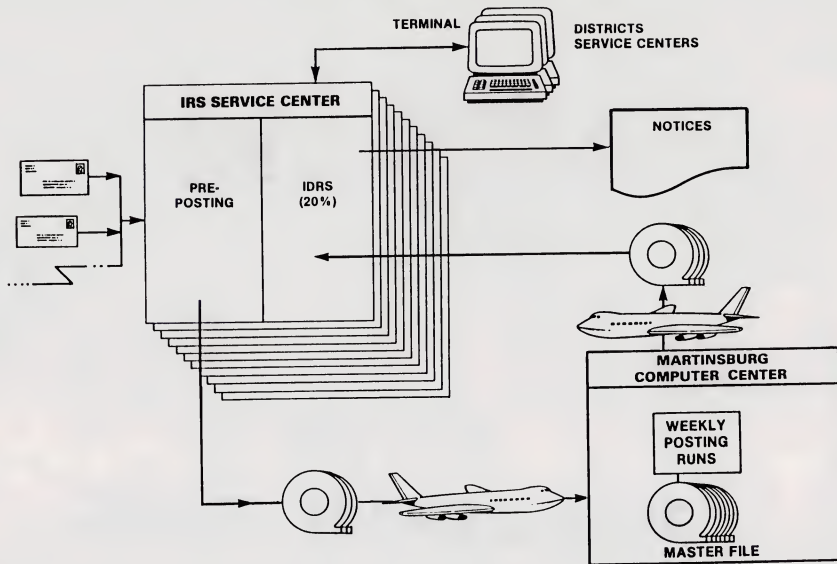
Install a quality improvement process in every field and National Office organization; and

Develop evaluating systems consistent with and reflective of the quality principles.

PRESIDENT'S QUALITY AWARD CRITERIA

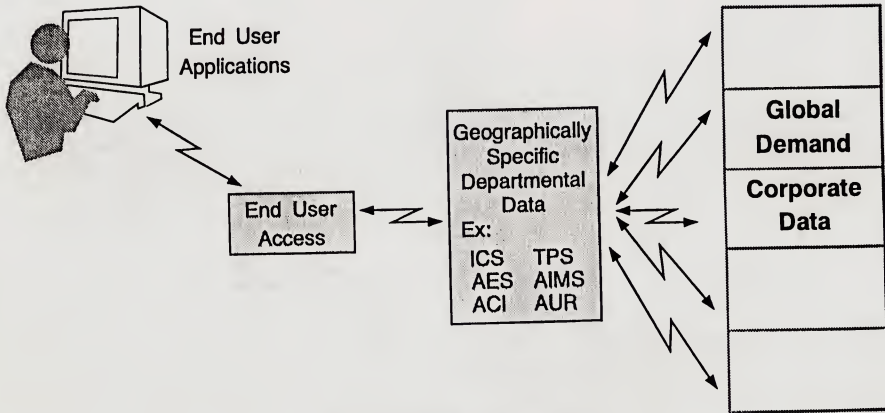
- **TOP MANAGEMENT LEADERSHIP AND SUPPORT**
- **STRATEGIC PLANNING AND IMPLEMENTATION GEARED TO LONG-RANGE SUCCESS**
- **FOCUS ON THE CUSTOMER**
- **CONTINUAL EMPLOYEE TRAINING AND RECOGNITION**
- **EMPLOYEE EMPOWERMENT AND TEAMWORK**
- **RELIANCE ON MEASUREMENT AND ANALYSIS OF PROCESSES AND OUTPUT**
- **QUALITY ASSURANCE**

CURRENT SYSTEM



TIERED ARCHITECTURE

User Focus



**QUALITY ASSURANCE
IS**



**DOING IT RIGHT
THE FIRST TIME**

Quality Pyramid

Quality Assurance

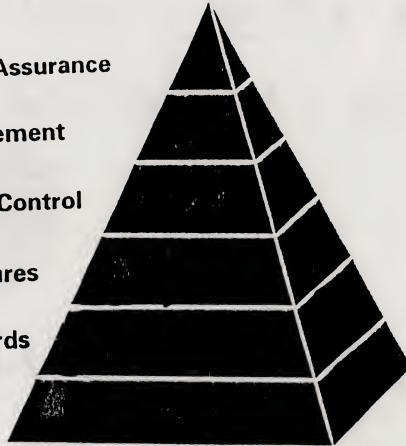
Measurement

Quality Control

Procedures

Standards

Policy



The QA Process

QA Monitors the Progress of a Project Throughout the Software Development Life Cycle (SDLC):

Initiation Development

Analysis

Design

Programming

Testing

Operation

Implementation

Maintenance

ISSUES FOR PRIVATE SECTOR PARTICIPANTS

- NEW WAY OF DOING BUSINESS
- EMPHASIS ON MEASUREMENT AT ALL STAGES
- ADDITIONAL "REVIEWS" AND "ANALYSIS" OF PRODUCTS AND PROCESS
- INCREASE IN PROTOTYPING
- INTEREST IN (REQUIREMENT FOR) "QUALITY" INITIATIVES IN YOUR ORGANIZATIONS

Margaret O'Rourke
Assistant Director of the Quality Assurance Division
Internal Revenue Service

PROFILE

Mrs. Margaret O'Rourke is the Assistant Director of the Quality Assurance Division in the Internal Revenue Service, a position she has held since July 1990.

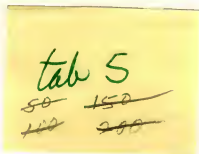
In this position, she is responsible for assuring the quality of the products of the Information Systems organizations in the Service, including final acceptance testing of all major systems prior to implementation. In addition, and more importantly, the Quality Assurance Division is responsible for applying the concepts of the Service's Total Quality Management initiative to the technical field of Information Resources Management.

Prior to assuming this position, Mrs. O'Rourke was the Director, Office of Corporate Systems, responsible for the redesign of IRS's corporate data bases and tax processing systems.

Before joining the IRS, Mrs. O'Rourke served 20 years with the U.S. Customs Service. During this time, she held several positions in which she was responsible for designing and implementing nationwide automated trade policy administration systems.

Mrs. O'Rourke holds a B.A. from Marygrove College (Detroit, Michigan), a Masters in Public Administration from George Washington University (Washington, DC), and is a graduate of the National War College at Ft. McNair in Washington, DC.





A New Approach to Systems Integration

Thomas P. Giammo
Assistant Commissioner
for Information Systems,
Patent and Trademark Office

the 1990s, the number of people in the UK who are aged 65 and over has increased by 1.5 million, and the number of people aged 75 and over has increased by 1.2 million (Office of National Statistics 2000). The number of people aged 85 and over has increased by 0.5 million in the same period.

There is a growing awareness of the need to address the needs of older people, and the need to ensure that they are able to live independently and safely in their own homes. This has led to a number of initiatives, including the development of new services and the provision of training for health and social care professionals.

One of the key areas of concern is the need to ensure that older people are able to access the services they need. This includes the need to ensure that they are able to access health and social care services, and that they are able to access the services in a way that is appropriate to their needs.

There is a need to ensure that older people are able to access the services they need, and that they are able to access the services in a way that is appropriate to their needs. This includes the need to ensure that they are able to access health and social care services, and that they are able to access the services in a way that is appropriate to their needs.

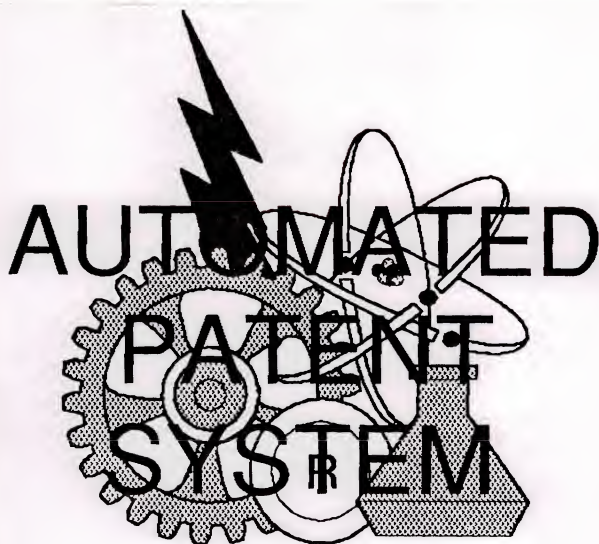
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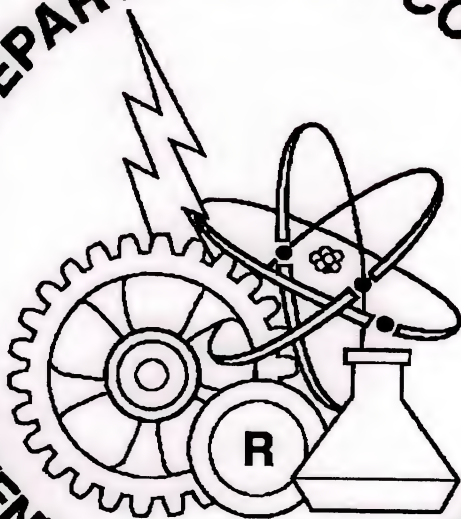
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U.S. DEPARTMENT OF COMMERCE

PATENT & TRADEMARK OFFICE



2

The Planning Crisis

- ☐ Few, If Any, Successes In Large Scale Information Systems Development Efforts
- ☐ Cost/Schedule Overruns
- ☐ Unacceptable Functionality
- ☐ Obsolete Technology
- ☐ Most Prevalent In Large, Complex, "Life Blood" Systems
- ☐ GAO/IG Reports Criticize Inadequate Planning, Requirements Analysis, Etc.

The Planning Crisis (Con't)

Causes/Solution

- ☐ **Traditional “Good Practices” In Information Systems Development Implicitly Assumes A High Degree Of Certainty/Stability During Execution**
- ☐ **Many Information Systems Development Programs Have A High Degree Of “Intrinsic” Uncertainty**
- ☐ **Therefore, Traditional “Good Practices” Often Must Be Extended To Address These Uncertainties**

A High Degree of Certainty is Assumed

- ☐ **“Traditional” Planning Methodology Assumes That Major Uncertainties Can Be Eliminated Up Front By “Good Practices”, Such As:**
 - **Comprehensive Requirements Analysis**
 - **Detailed Proposal/Design**
 - **Fixed Pricing**
- ☐ **Federal Management Regulations And Practices “Require” Certainty In Estimation Of Costs And Schedules Over Project Duration**

Intrinsic Uncertainties

- ☐ **May Not Be Possible To Develop Comprehensive And Detailed Requirements Up Front**
 - **Large Scale**
 - **High Level Of Integration**
 - **“Transforming” Application**
- ☐ **Solutions May Depend On Technology That Will Likely Change During Implementation**
- ☐ **“Economics” May Be Rapidly Shifting**

Extensions to “Good Practices”

- ☐ **Focus On Managing Uncertainty**
- ☐ **Identify Principal Sources/Major Impacts**
- ☐ **Establish Activities Needed To Gather
Information Needed To Reduce
Uncertainties In A Timely Manner**

Good Starting Point

Defense Science Board Recommendations

- ☐ **Abandon “Waterfall” Development Model**
- ☐ **Iterative Setting Of Specifications**
- ☐ **Rapid Prototyping Of Specified Systems**
- ☐ **Incremental Development**
- ☐ **Risk Management Techniques**

PTO Automated Patent System

Automated Search Support Systems

- **Text**
- **Full Image**

Patent Application Processing

Post-Issuance Processing

Classification/Patent Copy Sales/Etc.

Automated Search Support Systems

- ☐ (Substantially) “Transforming”
 - Work Patterns
 - Specific Functions
- ☐ Large Scale/Long Development Cycle
- ☐ Medium Level Of Integration
- ☐ Rapidly Changing Technology
- ☐ Unstable Economics
- ☐ Small Scale Prototype Not Feasible
 - Unrealistic
 - Minimum Data Base Is Large
 - Full Functionality
 - Productivity Must Be Maintained

Patent Application Processing

"Transforming"

- New Work Flows
- New Responsibilities
- New Interfaces

High Level Of Integration

Rapidly Changing Technology

Small Scale Prototype Not Feasible


- Efficiency In Handling Large Volumes
- Full Functionality
- "Test" Data Base Operations Difficult To Construct


Systems Integrator

- ☐ **Commits To Solving Customer's Total Business Problem**
- ☐ **Assumes Sole Responsibility For Functionality Of Final System**
- ☐ **Manages All Elements Of Project Life Cycle**
- ☐ **Provides Any Level Of Customization, Using Other Vendor's Packages When Necessary**

"Defining The Requirements For Success In Federal Systems Integration" McKinsey & CO.

Systems Integration Contracts


-  **Fixed Price Contract Generally Assumes Fixed Requirements, Stable Technology, And Firm Schedules**
 - **Unacceptable Contractor Risk**
 - **Runaway “Change Orders”**
 - **Unacceptable Functionality**
 - **Obsolete Technology**

-  **Cost Plus Contract Implies The Need For High Degree Of Visibility Into And Control Over Contractor's Discretionary Decisions**
 - **Perceived Lack Of Progress**
 - **High Overhead**
 - **GAO/IG Concerns**

Use Of System Integrator

- ☐ Cost Plus Contract
- ☐ Award Fee
- ☐ "Task Order" Basis Tied To Iteration Cycle And Decision Points
- ☐ Philosophy: Contractor Has Overall Integrator Responsibility, But Must Respond To Reassessments Of Requirements, Technology, Economics, Etc.
- ☐ Individual Tasks Are Run By The Book!!

Reference

 Report of the Defense Science Board
Task Force on Military Software

September, 1987

Office of the Understudy of Defense
for Acquisitions
Washington D.C. 20301

Risk Analysis

Analyze Each Activity For Risks/Uncertainty

- **What Assumptions Does The Activity (Schedule, Costs, Etc.) Depend Upon?**
- **How Certain Are These Assumptions?**
- **What Information Is Needed To Acquire Sufficient Information To Resolve Uncertainties**

Distinguish Between Major/Minor Risk

- **Major: Significant Likelihood That Major Changes At The Top Level Activities Will Occur;**
- **Minor: Likely Changes Generally Restricted To "Within" The Major Boxes;**

Target Requirements

☐ Set Target Requirements/Operational Date

- Functionally Useful
- Capable Of Being Operationally Deployed
- Firm Enough To Serve As Basis For Determining Major Elements Of Architecture, Rough Schedules, Ball Park Costs, Etc.
- Not Necessarily At Detailed Level

☐ Must Be Convincing That

- The "Essence" Of The Problem Is Addressed
- It's In The "Right Direction"
- Implementation Will Provide Significant Capability

Initial Baseline Plan

- ☐ Base on “Most Likely” Assumptions Regarding
 - Funding
 - Evolution Of Technology
 - Development Of Requirements
 - Changes In Economics
- ☐ Establish Baseline Architecture
- ☐ Develop “PERT” Activities, Schedules, Etc.
- ☐ Warning: If This Can Not Be Meaningfully Done, Consider Possibility That Target Requirements Are Too Vague

Extended Baselines

- ☐ Add Decision Points/Activities To Plan
- ☐ Criteria: All Major Uncertainties Resolved Prior To Commitment Of Significant Resources
- ☐ Develop Alternative Baselines For Major Risk Elements
- ☐ Criteria: Spans The Range Of “Reasonably Likely” Contingencies
- ☐ Warning: If This Can't Be Accomplished, Scope Of Target Requirements May Be Too Ambitious

Three Tiered Planning

☐ Long Range

- Sufficiently Detailed To Support Acquisition
- 3/5 Year Span
- May Have Multiple Alternatives

☐ Short Range

- Covers "Locked In" Tasks
- Very Detailed
- 6/18 Months Span
- One Version Only!

☐ Intermediate Range (??)

Planning Philosophy

- ☐ **Abandon The “Waterfall”**
- ☐ **Consider The Program As A Decision Process That Has To Address/Resolve Uncertainties That Arise From**
 - **Significant Changes In The “Way Business Is Done”**
 - **Evolving Refinement In Understanding Of Requirements**
 - **Rapidly Evolving Technology**
 - **Changing Economics**
- ☐ **More Of An Art, Than A Science - More Judgement Required**

“Transforming” Application

- ☐ **Assume “Information” Is/Has Been Critical To Mission**
- ☐ **Then, It Is Likely That:**
 - **Methods, Procedures, Organizations, Etc. Have Evolved To Optimize Information Capabilities**
 - **They “Reflect” The Limitations Of Prior Information Technology**
- ☐ **If, In Addition, The Application Addresses Highly Integrated Functions (i.e. Cross Existing Organizational Lines)**

“Transforming” Application

(Continued)

☐ **Then, It Is Likely That:**

- **Project Should Address Appropriate Changes In Methods, Procedures, And Organization - As Well As Application Of New Technology**
- **Existing Users, Embedded In Current Structure, Will Not Be Able To Lead Effort**
- **Broad Consensus On Management Issues Necessary**
- **“Culture” Changes Important**





Thomas P. Giammo
Assistant Commissioner for Information Systems
U.S. Department of Commerce

PROFILE

Mr. Giammo entered the field of computing in 1958, programming IBM 650s for Equitable Life and Rensselaer Polytechnic Institute. He held positions early in his career with TRW and Scientific Data Systems as an operations research analyst and programmer. He later directed software development projects in support of a range of DoD systems for TRW and GULTON industries and managed the Washington Computer Center of TRW.

While working in the government, he managed large-scale software development and computer operations activities for the Office of the Secretary, DHEW, and the Social Security Administration. He was Technical Director of FEDSIM in the USAF Communications Command and worked as an Associate Director of GAO, responsible for government-wide technical and policy issues in computers and telecommunications. Currently, Mr Giammo is Assistant Commissioner for Information Systems at the Patent and Trademark Office of the Department of Commerce.

He served as a member of the 1978 President's Reorganizations Project on the ADP Operational Management task force.

He is a charter member of the Senior Executive Service and has written many technical and management papers and presentations related to computer performance, systems reliability, and the development of large-scale software systems.

He has testified several times before Congressional Committees on computer security, systems development, and related issues.

Mr. Giammo holds a B.S. in Mathematics from Rensselaer Polytechnic Institute and an M.A. in Mathematics (operations research) from UCLA.

tab 6

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Air Force Initiatives in a Constrained Budget Climate

Lloyd Mosemann
Deputy Assistant Secretary for
Communications, Computers, and
Logistics, U.S. Air Force



**Air Force Initiatives
in a
Constrained Budget Climate**

**Lloyd K. Mosemann, II
SAF/AQK
Washington, DC 20330-1000
November 28, 1990**



Overview

- **Software: The IRM Force Multiplier**
- **Air Force Software Initiatives**
- **Expected Effects**
- **Challenges**



Air Force Software Initiatives

- **Software Engineering**
- **Ada**
- **Reuse**
- **Tools**
- **Paperless Acquisition**



Expected Effects

- **New Cost Drivers**
- **Focus on Process**



Challenges

- **Education/Training Shortfall**
- **Organizational Inertia**
- **Old Mindsets**



Lloyd K. Mosemann, II
Deputy Assistant Secretary
Office of the Assistant Secretary of the Air Force

PROFILE

Mr. Lloyd K. Mosemann, II, is the Deputy Assistant Secretary (Communications, Computers and Logistics), Office of the Assistant Secretary of the Air Force, (Acquisition). He is responsible for air force logistics planning, acquisition logistics, supply and maintenance management, international logistics, transportation, civil aviation, and search and rescue. With respect to communications and computers, he is responsible for acquisition of command, control, communications, and computer systems for policy pertaining to engineering, management, and technology of Air Force software, and for operations policy pertaining to communications and information systems.

Mr. Mosemann was born in Lancaster, PA. He holds a B.A. in Social Sciences and an M.A. in International Relations from the University of Chicago.

He began his government career at the Navy Electronics Supply Office, Great Lakes, IL, in 1958. In 1962, he participated in the planning for and establishment of the Defense Electronics Supply Center, and had specific responsibility for the design of inventory management and requisition processing systems for Department of Defense management of electronic repair parts.

From 1963 to 1968, he was with the Department of the Navy's Bureau of Supplies and Accounts and its successor organization, the Naval Supply Systems Command Headquarters, in Washington, DC. During most of this period, he was Head of the Integrated/Retail Supply Support Branch and was instrumental in establishing Navy distribution and requirements policies and procedures.

From 1969 to 1970, Mr. Mosemann served as Deputy Chief of the DoD Logistics Support Analysis Staff. His responsibilities included conducting Department of Defense analyses of functional logistics areas in all four Military Services, as directed by the Assistant Secretary of Defense (Installations and Logistics).

In January 1972, Mr. Mosemann was appointed Deputy for Supply and Maintenance in the Office of the Assistant Secretary of the Air Force



(Research, Development, and Logistics). In 1974, he was appointed Deputy Assistant Secretary for Logistics and Communications. Since that time, Mr. Mosemann has fostered innovative management concepts across the spectra of logistics and communications management functions.

Mr. Mosemann identified the need for professional management of Air Force civilian career logisticians. Accordingly, he implemented an Air Force-wide program known as the Logistics Civilian Career Enhancement Program (LCCEP). From its inception, Mr. Mosemann has chaired the LCCEP Policy Council.

During 1984, Mr. Mosemann chaired the multinational Ad Hoc Review of the NATO Maintenance and Supply Organization. At various times he has worked closely with the F-16 Multinational Fighter Program Logistics Subcommittee, the NATO AWACS Program, and the COSPAS/SARSAT (Canada, France, USA, USSR) Search and Rescue Satellite program.

Mr. Mosemann has been a principal advocate of software acquisition and management reform. In 1988, he established a high-level course, known as BOLDSTROKE, for making Air Force general officers and senior civilian executives aware of the software crisis and the need to place more management attention and resources on this vital aspect of Air Force technology. In 1989, he initiated a Broad Area Review of Software.

Mr. Mosemann currently acts as Senior Air Force Policy Official for OMB Circular A-76; Senior Air Force ADP Policy Official, Air Force Ada Executive; DoD Member of the Interagency Committee on Search and Rescue; and DoD Member COSPAS/SARSAT Satellite-Aided Search and Rescue Steering Committee.

He is a member of the Board of Advisors of the Society of Logistics Engineers (SOLE), and of the National Institute for Urban Search and Rescue. He has been active in the National Association on Search and Rescue (NASAR), and is a past director of the American Defense Preparedness Association (ADPA). Within the Air Force, he is a member of the Executive Resources Board and the Board for the Correction of Military Records.

Recognitions accorded Mr. Mosemann include two Presidential Meritorious Rank and Stipend Awards (1982, 1987); four Air Force Exceptional Civilian Service Medals (1979, 1981, 1982, 1987); the Defense Meritorious Civilian Service Medal (1985); Society of Logistics Engineers Founders medal (1983); and various other performance awards.

Mr. Mosemann was appointed to his present position in May, 1990.

Mr. Mosemann and his wife and children reside in Oakton, VA.

U

**Air Force Initiatives
in a
Constrained Budget Climate**

**Lloyd K. Mosemann, II
SAF/AQK
Washington, DC 20330-1000
November 28, 1990**

Overview

- **Software: The IRM Force Multiplier**
- **Air Force Software Initiatives**
- **Expected Effects**
- **Challenges**

the 1990s, the number of people in the UK who are aged 65 and over has increased from 10.5 million to 12.5 million, and the number of people aged 75 and over has increased from 4.5 million to 6.5 million (Office for National Statistics 2000). The number of people aged 65 and over is projected to increase to 15.5 million by 2020, and the number of people aged 75 and over to 8.5 million (Office for National Statistics 2000). The increase in the number of people aged 65 and over is due to a combination of factors, including a decline in the birth rate, a decline in the death rate, and a decline in the rate of emigration. The increase in the number of people aged 75 and over is due to a combination of factors, including a decline in the birth rate, a decline in the death rate, and a decline in the rate of emigration.

The increase in the number of people aged 65 and over has led to a corresponding increase in the number of people aged 75 and over. This is because the number of people aged 75 and over is a subset of the number of people aged 65 and over. The increase in the number of people aged 75 and over has led to a corresponding increase in the number of people aged 85 and over. This is because the number of people aged 85 and over is a subset of the number of people aged 75 and over. The increase in the number of people aged 85 and over has led to a corresponding increase in the number of people aged 95 and over. This is because the number of people aged 95 and over is a subset of the number of people aged 85 and over.

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Air Force Software Initiatives

- **Software Engineering**
- **Ada**
- **Reuse**
- **Tools**
- **Paperless Acquisition**

the 1990s, the number of people in the world who are under 15 years of age is expected to increase from 1.1 billion to 1.5 billion.

As the world's population grows, the demand for food and other resources will increase. This will put pressure on the environment and on the world's resources.

One of the main reasons for this is that the world's population is growing so fast that it is putting a strain on the environment.

For example, the world's population is growing so fast that it is putting a strain on the environment.

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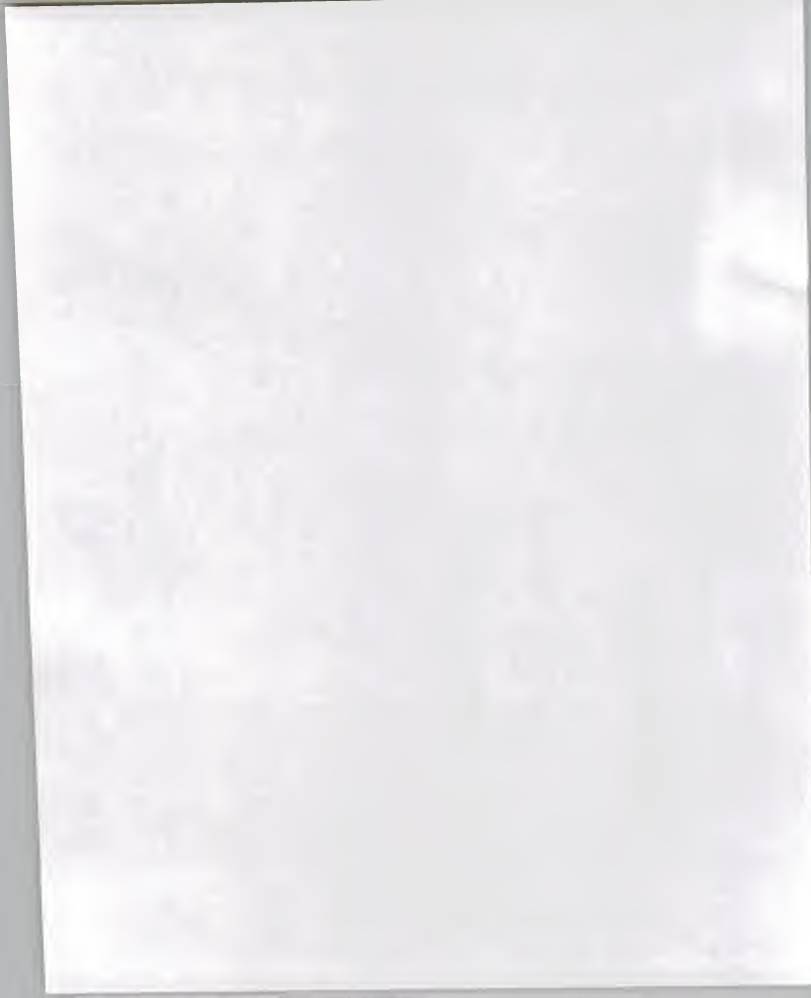
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Expected Effects

- **New Cost Drivers**
- **Focus on Process**



Challenges

- **Education/Training Shortfall**
- **Organizational Inertia**
- **Old Mindsets**



tab 7
200 DONE

Future Trends in FTS 2000

Michael Corrigan
Assistant Commissioner, Office of
Telecommunications Services



Michael L. Corrigan
Assistant Commissioner for
Telecommunications Services
Information Resources Management Service
General Services Administration

PROFILE

Michael L. Corrigan serves as the Assistant Commissioner for Telecommunications Services in the Information Resources Management Services at the General Services Administration (GSA). His broad-based responsibilities encompass the design, planning, and direction of multiple GSA telecommunications systems and programs. These programs include the Federal Telecommunications System and FTS2000, the Washington Interagency Telecommunications System (WITS), the National Emergency Preparedness Program, and the nationwide Federal Information Center Program.

Prior to holding his current position, Mr. Corrigan served as the Special Assistant for Integrated Digital Architecture, Office of the Assistant Secretary of Defense (C31), where he was a principal author of the Government Open Systems Interconnection Profile (GOSIP). He was the lead design engineer for the Defense Data Network (DDN) and served as technical manager of the DDN program management office. Mr. Corrigan's 18 years in the field of information systems also include technical management and planning positions in the areas of system programming, analysis, and testing.

Mr. Corrigan holds a B.A. and an M.A. in Mathematics from Fordham College and University of Michigan, respectively. Corrigan is a veteran of the United States Army.



Day 2
Tab 8

50 June 2000
100 LST

A Congressional View of Federal IRM

David Kilian
Staff Assistant, House
Appropriations Committee



DOD IRM

BUDGET

CUTS



SUMMARY

	<u>(\$000)</u>
* Army	-588,452
* Navy	-384,516
* Air Force	-503,527
* Other DoD	-162,713
* CIM	<u>+1,022,963</u>
	-616,245

ARMY

	<u>O&M</u>	<u>(\$000)</u> <u>Procurement</u>	<u>Total</u>
CIM Reduction	-542,070		-532,070
Computer Maintenance	-20,000		-20,000
Army Guard	+4,241		+4,241
Army Reserve	-4,241		-4,241
WWMCCS		-5,000	-5,000
AMC Information Processing Equipment		-5,468	-5,468
Financial Management Automation		-9,104	-9,104
EUCOM/PACCOM ADP		-4,000	-4,000
CALS Information Processing Equipment		-2,810	-2,180
	<hr/>	<hr/>	<hr/>
	-562,070	-26,382	-588,452

NAVY

	<u>O&M</u>	<u>(\$000) Procurement</u>	<u>Total</u>
CIM Reduction	-287,373		-287,373
Computer Maintenance	-25,000		-25,000
MIS for Air Engineering Center		-6,409	-6,409
Military Sealift Command ADP		-1,033	-1,033
EDMICS		-16,453	-16,453
Supervisor's Desk		-4,330	-4,330
Stock Point ADP Replacement		-11,623	-11,623
ICP Resolicitation		-14,549	-14,549
Navy Standard Technical Information System		-2,734	-2,734
Central Processing and Distribution		-1,112	-1,112
Navy Occupational Health Information Management System		-1,443	-1,443
Triservice Micropharmacy and Food Service System		-505	-505
CAD/CAM		-2,500	-2,500
Station Information Management System		-5,322	-5,322
Central Processing Unit II		-2,400	-2,400
WWMCCS		-1,730	-1,730
	<hr/>	<hr/>	<hr/>
	-312,373	-72,143	-384,516

AIR FORCE

	<u>O&M</u>	<u>(\$000) Procurement</u>	<u>Total</u>
CIM Reduction	-427,182		-427,182
CALS	+20,000		+20,000
Personal Concepts III		-29,106	-29,106
Requirements Data Bank		-2,946	-2,946
Clinical and Diagnostic Systems		-1,084	-1,084
WWMCCS		-8,357	-8,357
MAC Command and Control System		-7,000	-7,000
Base Level Data Automation		-10,852	-10,852
Asset Capitalization Program			
DMMIS		-37,000	-37,000
	<hr/>	<hr/>	<hr/>
	-407,182	-96,345	-503,527

OTHER DOD

	<u>O&M</u>	<u>(\$000)</u> <u>Procurement</u>	<u>Total</u>
CIM Reduction	-118,336		-118,336
DLA Computer Maintenance	-4,000		-4,000
Mechanized Material Handling System		-4,000	-4,000
WWMCCS		-2,602	-2,602
SAMMS Immediate Improvement Initiative		-14,000	-14,000
Cataloging Tools On-Line		-6,500	-6,500
EDMICS		-13,275	-13,275
	<hr/>	<hr/>	<hr/>
	-122,336	-40,377	-162,713

CIM INCREASE

	<u>O&M</u>	<u>(\$000)</u> <u>Procurement</u>	<u>Total</u>
CIM, General Provision	1,000,000		1,000,000
CIM, Defense AGencies		22,963	22,963
	<hr/>	<hr/>	<hr/>
	1,000,000	22,963	1,022,963
Net Reduction	-403,961	-212,284	-616,245





tab 9

50 done 200
100 150

Changing Role of Standards in Federal IRM

Allen Hankinson
Chief, Systems and Software
Technology Division, National
Institute for Standards
and Technology



*THE CHANGING ROLE OF STANDARDS
IN
FEDERAL IRM*

*Allen L. Hankinson
National Computer System Laboratory
National Institute Of Standards And Technology*



Open Systems Standards-The New Paradigm



Transition To The New Paradigm

- *Many Of The Rules Which Defined Roles And Relationships Among Users And Vendors Are No Longer Applicable*
- *A New Set Of Rules Is Being Established*
- *We Are Now In A Period Of Transition Between The Old Rules And The New Rules*
- *Successful Strategies During The Transition Place A Premium On*
 - *KEEPING UP Via Stepwise Evolution*
Rather Than
 - *CATCHING UP Via Quantum Leaps*

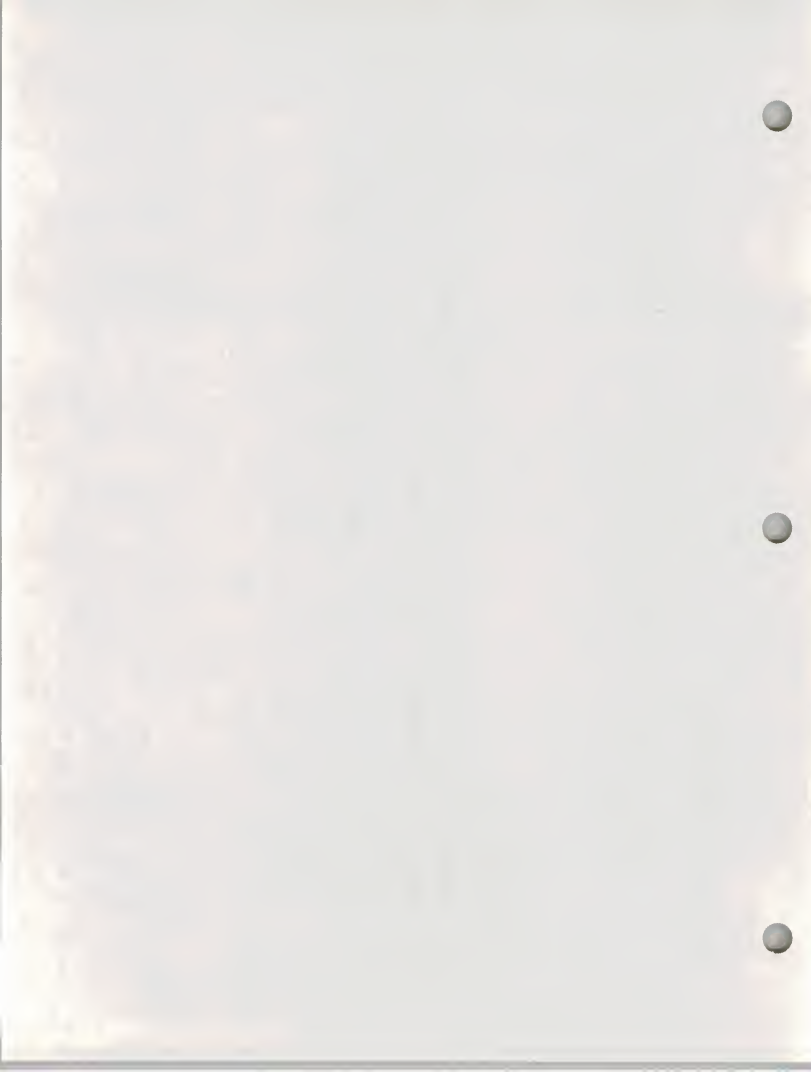


Basis For Old Rules

Applications Software Had Little, If Any, High Level Visibility Within An Organization

New Reality

- *Applications Software Has Become A Strategic Resource In Most Organizations*
- *The Users' Investment In Applications Software And Supporting Services Now Rivals The Investment Of Information Technology Vendors*



Basis For Old Rules

*Cooperation And Coordination Among Users Within
An Organization Was Nice, But Not Really Necessary*

New Reality

- *Today Computing Is Enterprise Wide*
- *Users Are No Longer Able To Operate Independently
Of Others Within The Organization*
- *New Constraints Are More Than Offset By An
Expanded Range Of Choices*

Basis For Old Rules

Loyalty To A Single Vendor Was Necessary To Minimize Risks

New Reality

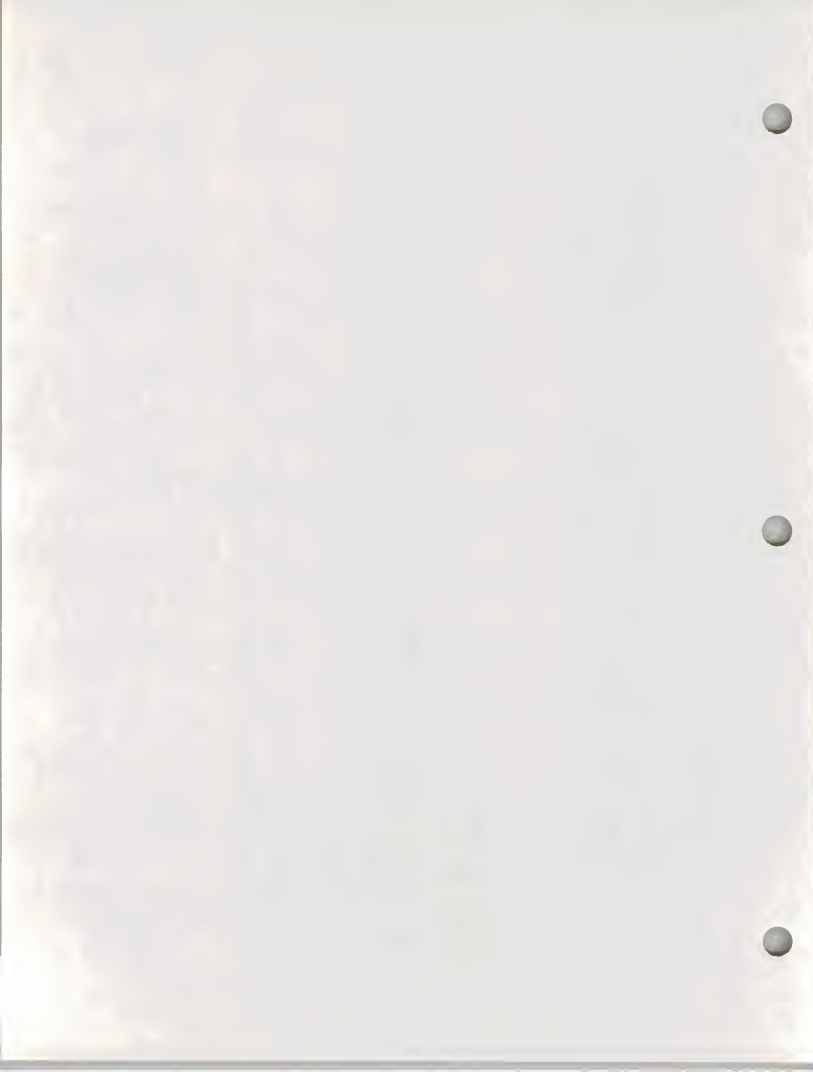
- *No Single Vendor Can Supply All Needs*
- *Lock-In To A Single Vendor Is No Longer An Acceptable Strategy For Users*
- *Open Systems Are Necessary For Vendor Independence*
- *Standards Are The Basis For Open Systems*

Basis For Old Rules

Standards Were Of Primary Interest To Vendors And Standards Professionals Who Were Not In The Mainstream Of Activities Within Their Organizations

New Reality

- *Open System Standards Are Necessary To Protect Users' Investment In Applications Software And To Minimize Training And Staffing Costs*
- *These Standards Are Viewed By The User As Practical Tools Rather Than Unnecessary Constraints*

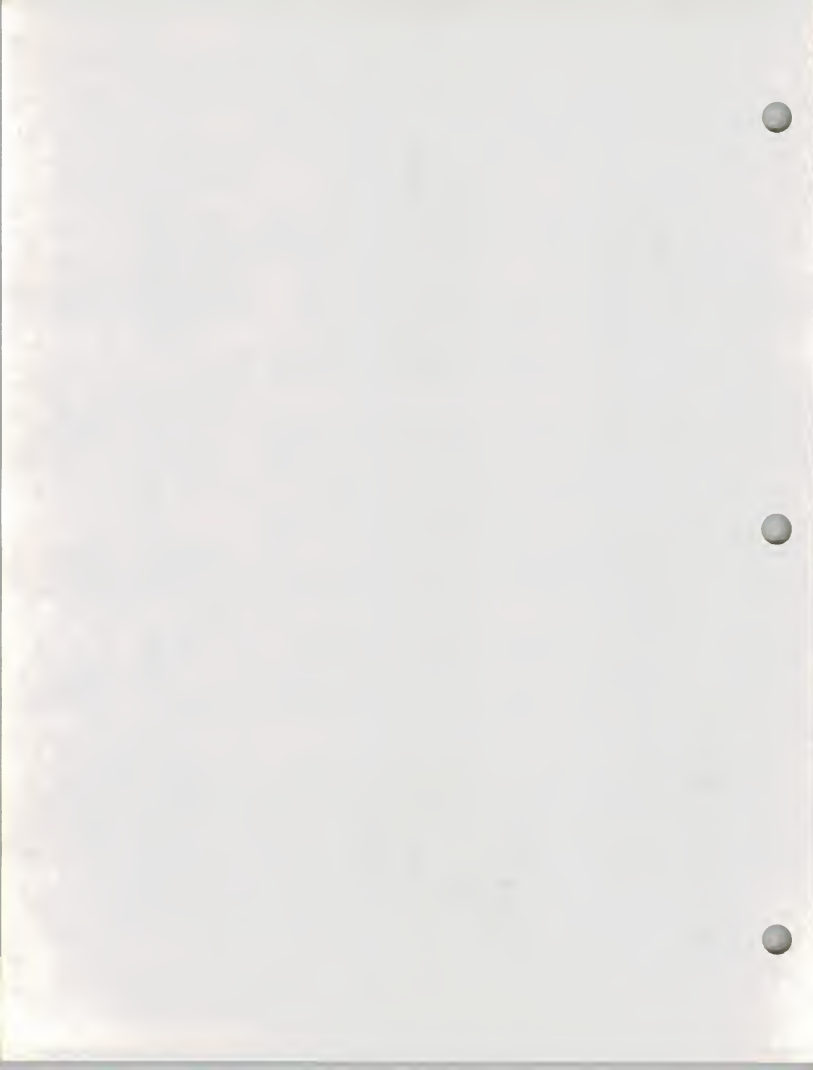


Basis For Old Rules

*The Voluntary Standards Process Was The Pacing
Function For The Adoption Of Industry Standards*

New Reality

- *The Voluntary Standards Apparatus Does Not Move
Fast Enough To Satisfy Users' Needs For Open System
Standards*
- *Users Can Not Wait For Standards Organizations To
Develop Needed Open System Standards*
- *User Groups And Vendor Consortia Are Emerging To
Complement The Voluntary Standards Process In
Meeting Users Needs For Open System Standards*



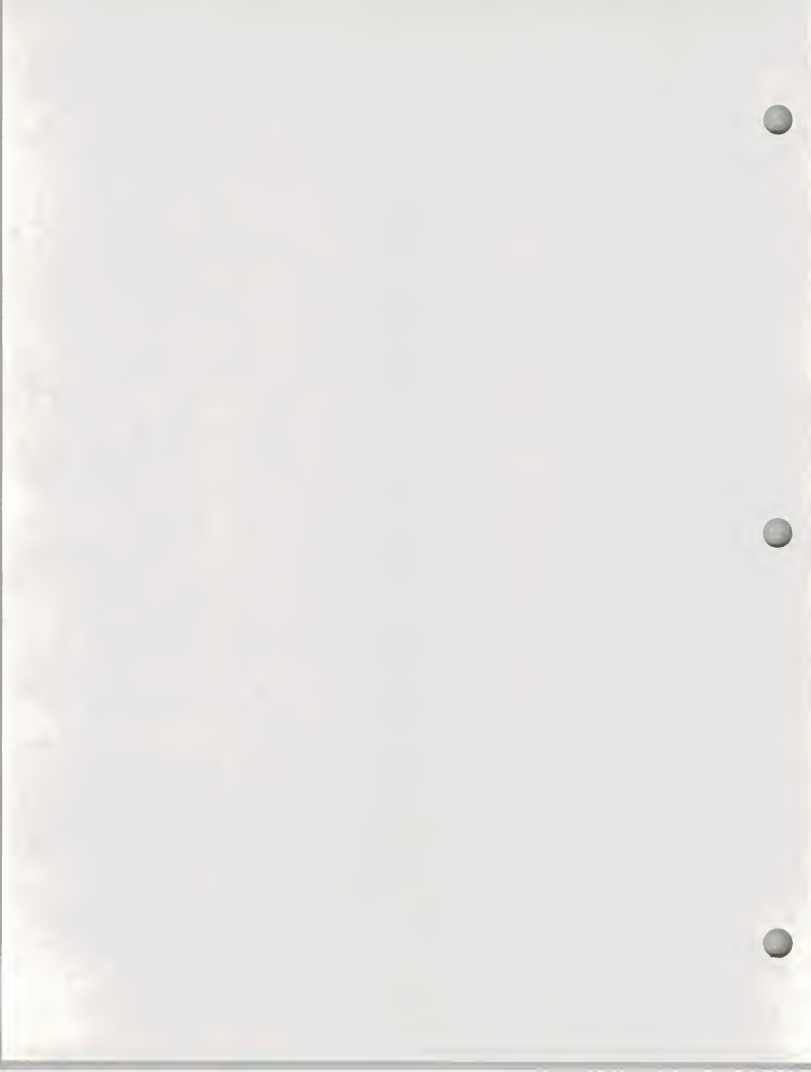
Basis For Old Rules

*Conversions And Other Service Disruptions Were
Necessary To Incorporate New Technology*

New Reality

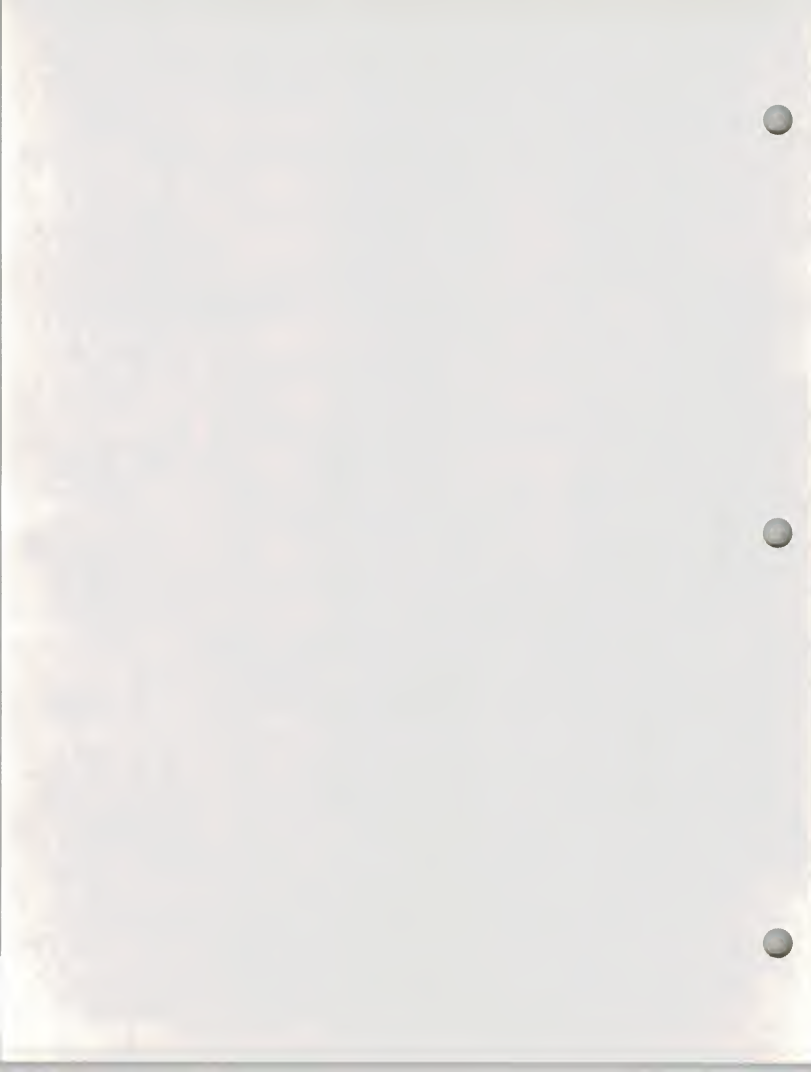
- *Service Interruptions Are Unacceptable*
- *Users Have Begun To Adopt Migration Strategies That Allow Evolutionary Changes In*
 - *Standards,*
 - *Technologies,*
 - *Vendor Products, And*
 - *Applications*

The Standards Process: A Users View



Problems

- *Too Slow, Too Late*
- *No Guarantee Of Product*
 - *Availability*
 - *Interoperability*
- *No Apparent Agenda*
 - *What*
 - *When*
- *No Advice To Users Regarding*
 - *Why/How To Use*
 - *Migration And Co-existence*
 - *Living In A Multi-Standards World*



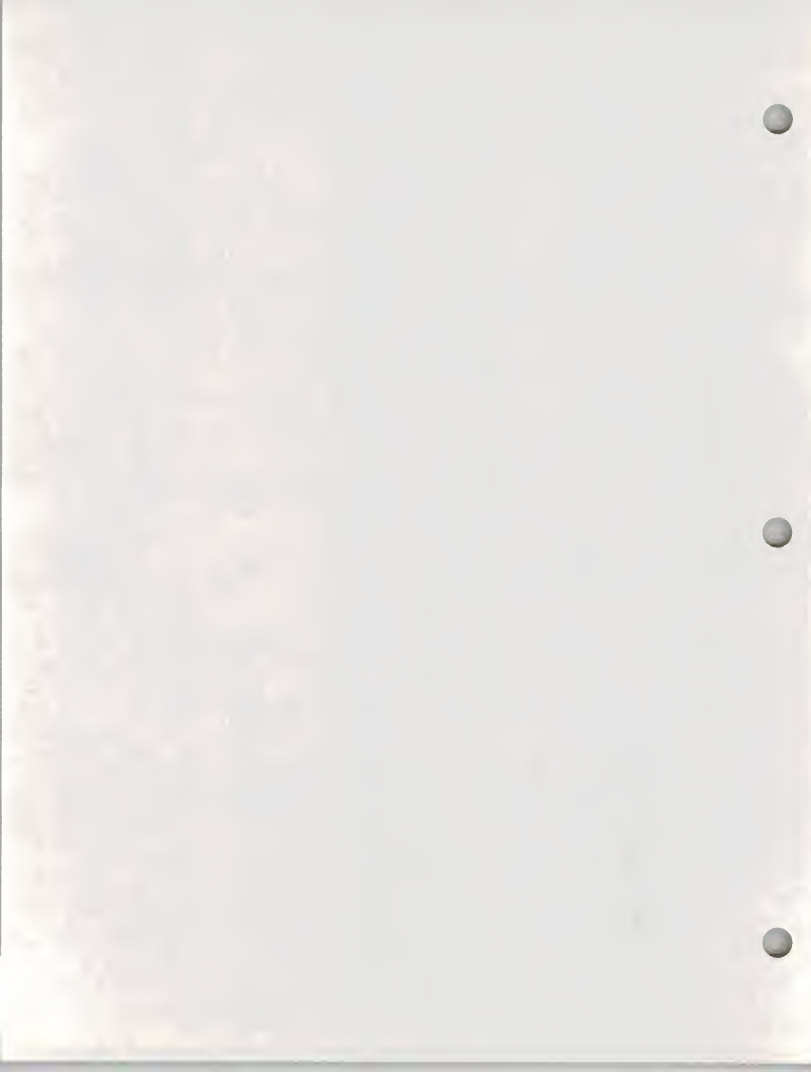
Forces Driving Change

User Requirements

- *Preserve Investments*
- *Integrate New Technologies*
- *Promote Portability Of Data, Skills, Programs*
- *Protect Information*

Technology Changes

- *Networking and Telecommunications*
- *Storage*
- *Multi-Media*
- *User Interfaces*

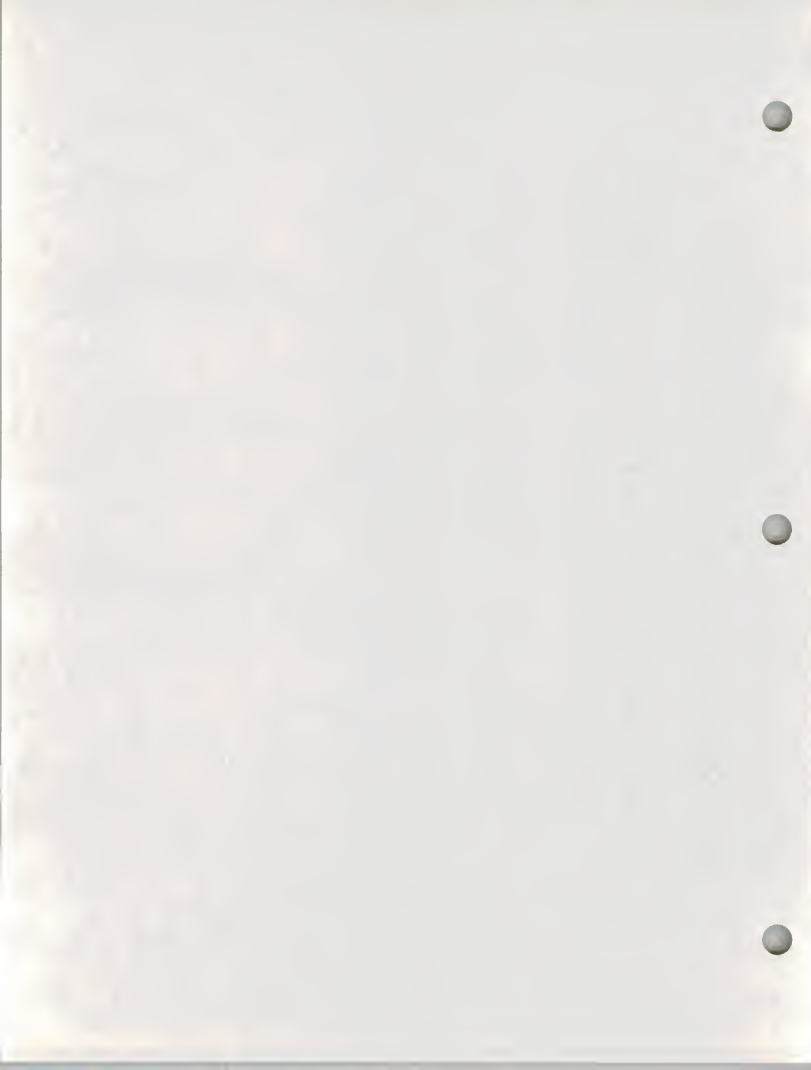


User Problems Cannot Be Solved By

- *One Technology*
- *One Standard*
- *One Vendor*

And Standards Alone Are Not Enough !

- *Definition Of Interacting Processes And Systems*
- *Implementation Of Standards In Interoperating Systems*
- *Acquisition Of Commercially Available Systems*



What's Needed

Framework For Integration

- *User Requirements*
- *Standard Specifications*

Commercially Available Solutions Based On

- *Voluntary Industry Standards Where They Exist*
- *Non-proprietary Specifications Where There Are No Formal Standards*
- *Consensus - Based Process For Change*



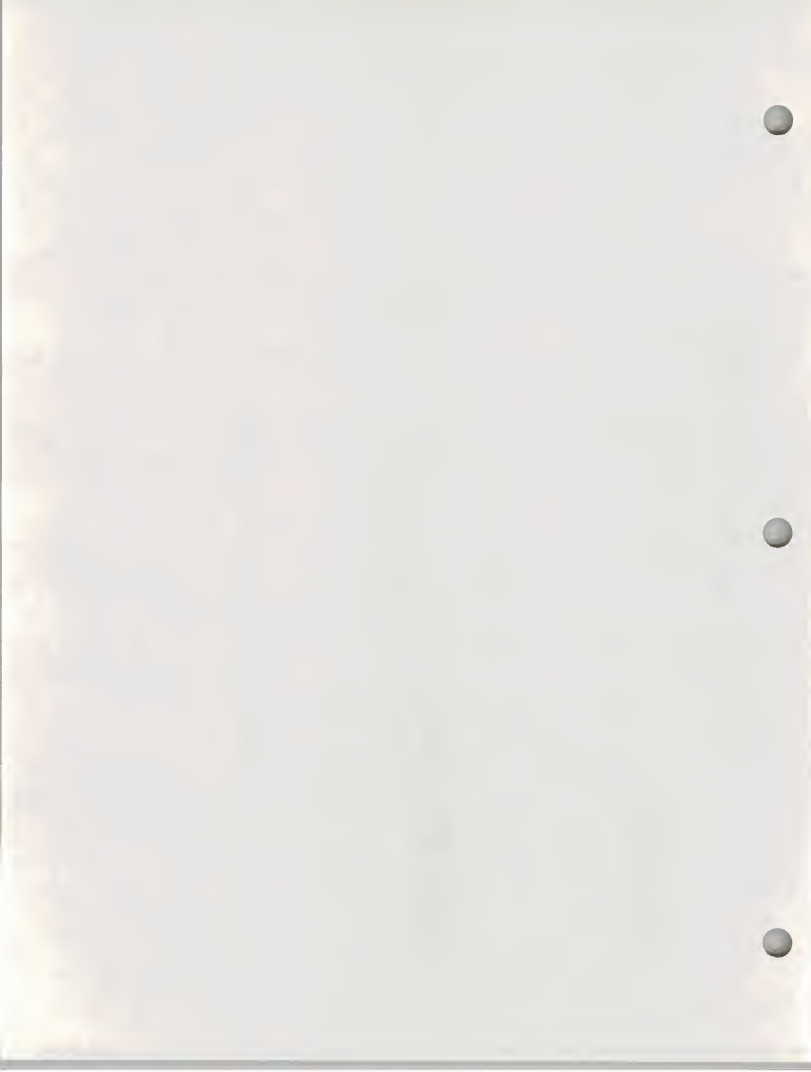
New Approaches Underway

Governments

- *U.S.*
- *C.E.C.*
- *International Public Sector*

User/Vendor Organizations

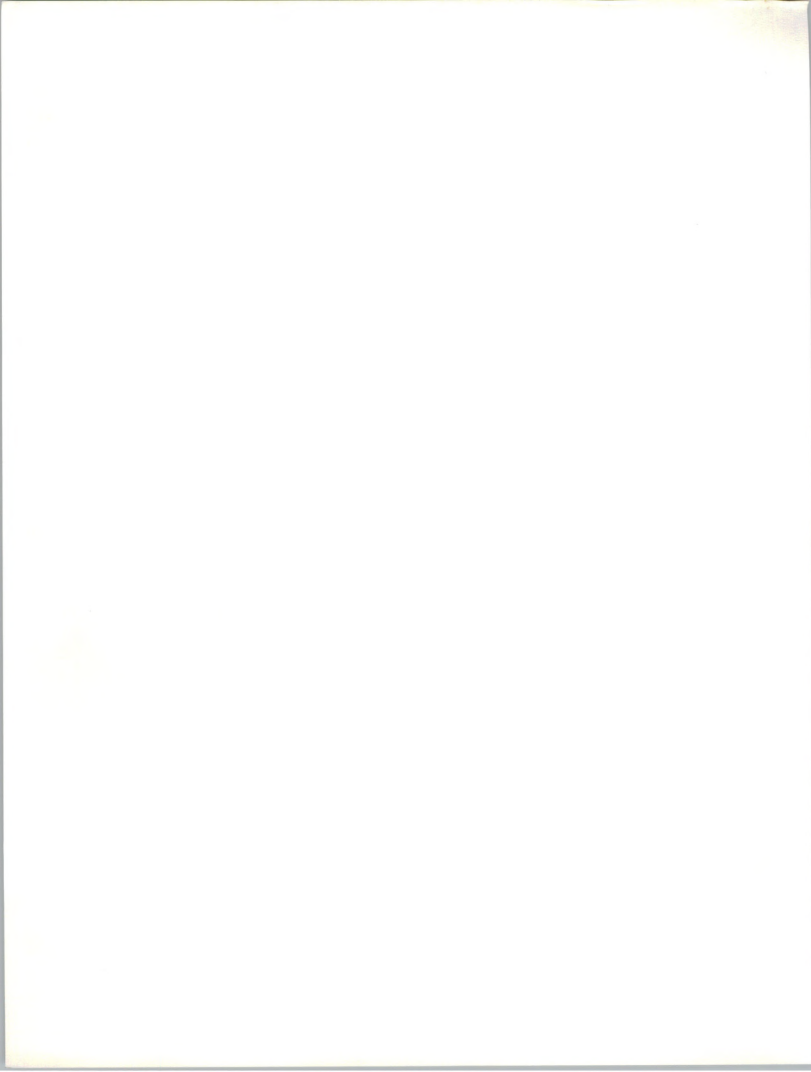
- *Open Software Foundation*
- *Unix Intl.*
- *X/Open*
- *Corporation for Open Systems*



Critical Issues

- *Fragmentation Of Efforts*
- *Conformance Testing*
- *Maintenance Of Standards And Tests*
- *What To Do When Needed Standards Are Not Available*







**OPEN SYSTEM ENVIRONMENTS
(OSE)**



Concept

Extensibility

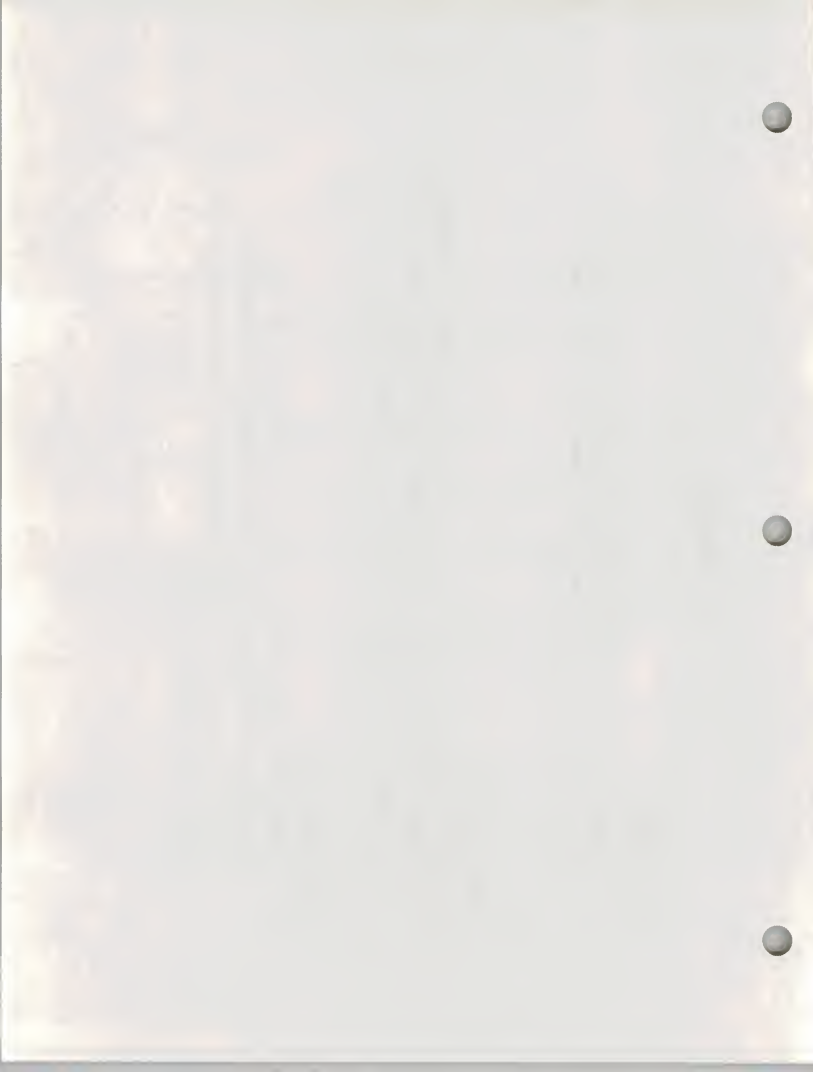
- Based Upon An Architectural Framework Which Allows An Extensible Collection Of Interfaces, Services, Protocols, And Supporting Formats To Be Defined

Non-proprietary

- Interfaces, Services, Protocols, And Supporting Formats Are Defined In Terms Of Non - Proprietary Specifications That Are Available To Any Vendor For Use In Developing Commercial Products

Consensus Based

- Evolution Is Controlled By A Consensus - Based Process For Decisions Regarding Definition And Specification Of Interfaces, Services, Protocols, Supporting Formats, And Other Issues Related To The Computing Environment



Dimensions

Portability

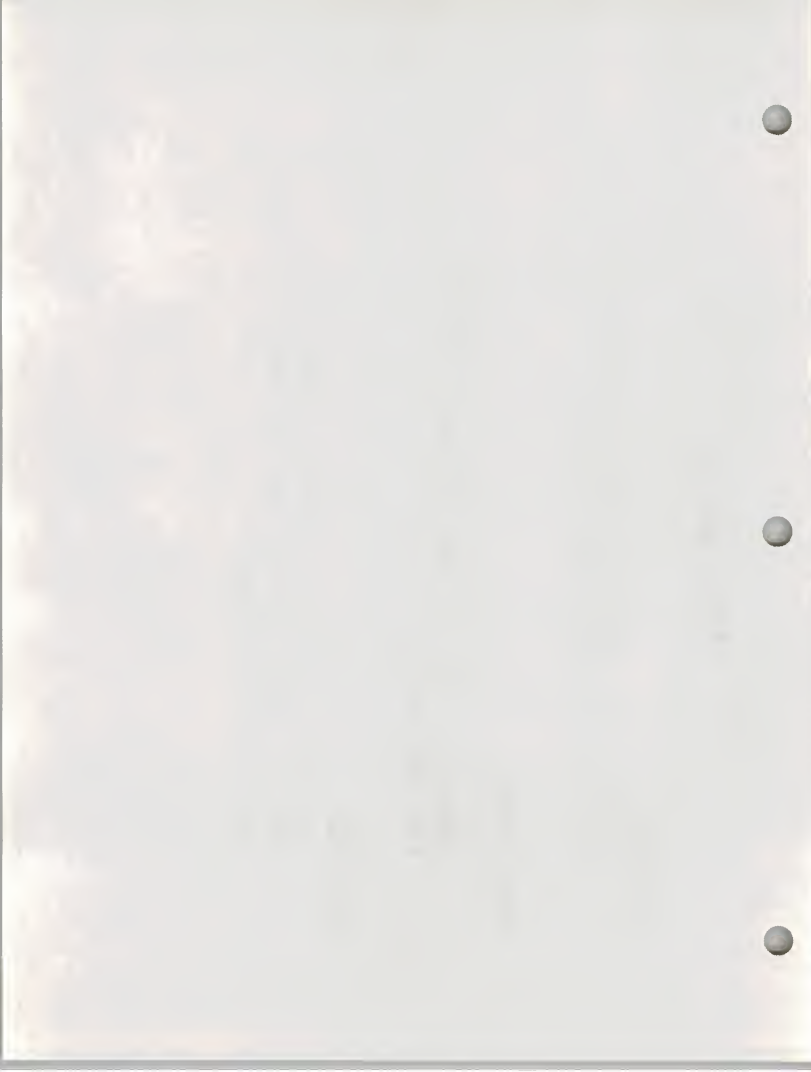
**The Ability To Use Application Software and Data On
Heterogeneous Hardware/Software Platforms**

Interoperability

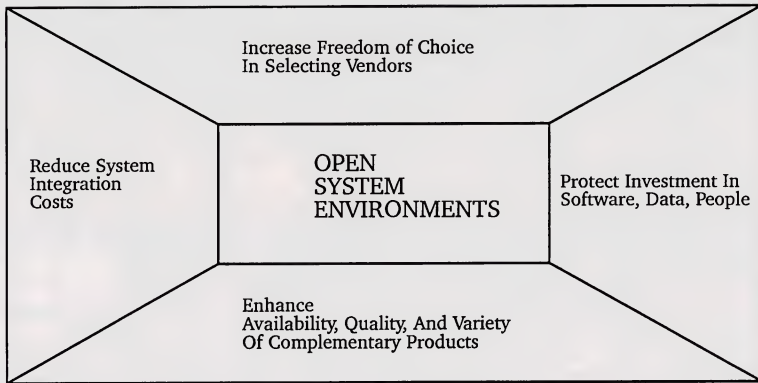
**The Ability To Have Application Software Operating On
Heterogeneous Hardware/software Platforms Cooperate In
Performing Some User Function**

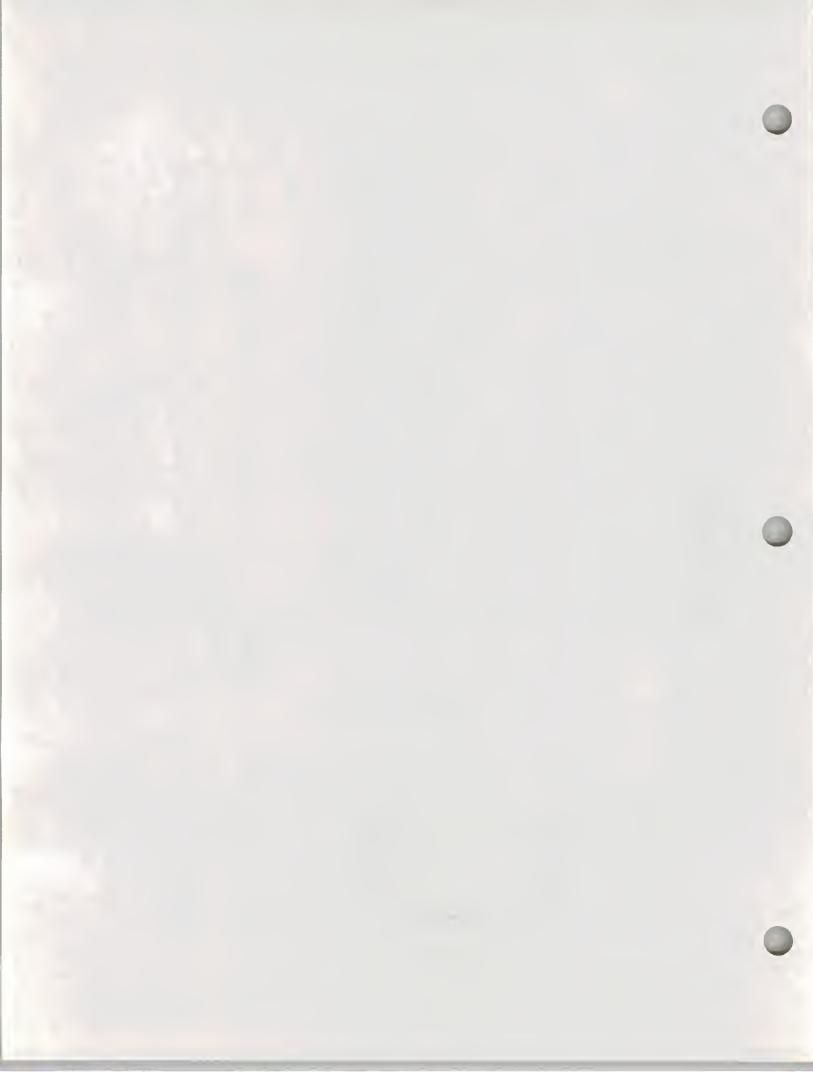
Scalability

**The Ability To Use The Same Application Software On Many
Different Classes Of Hardware/Software Platforms, From Personal
Computers To Supercomputers**



Benefits





POSIX

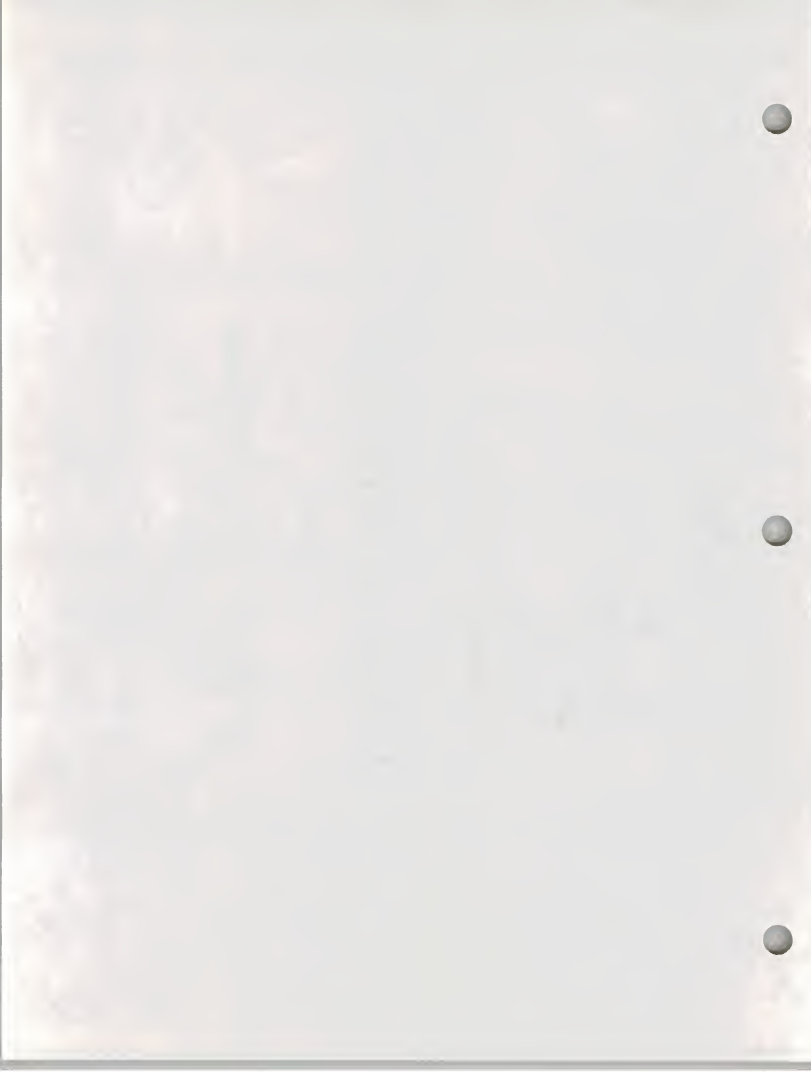
PORTABLE
OPERATING
SYSTEM
INTERFACE
X

Denotes its UNIX origin

Defines An INTERFACE

NOT

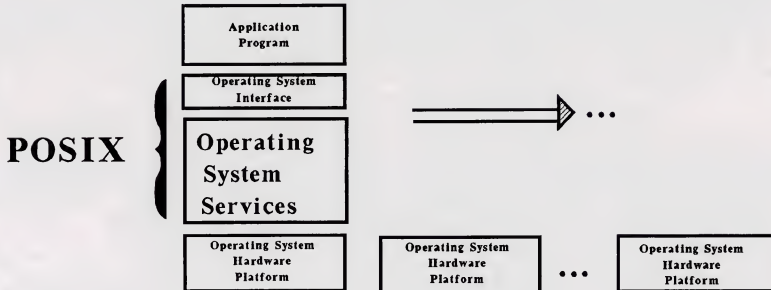
An IMPLEMENTATION



POSIX And OSE

POSIX Is An Essential Element Of An OSE

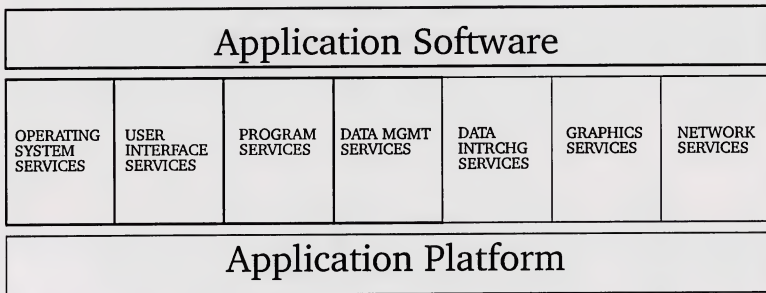
BUT

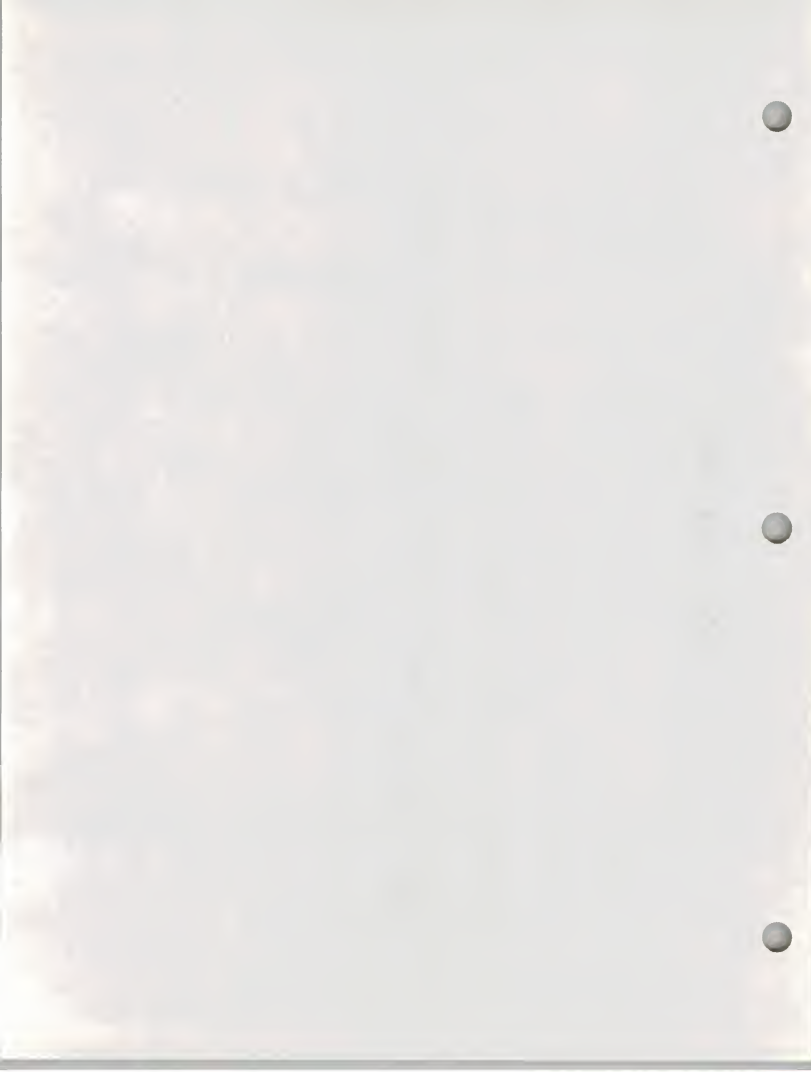


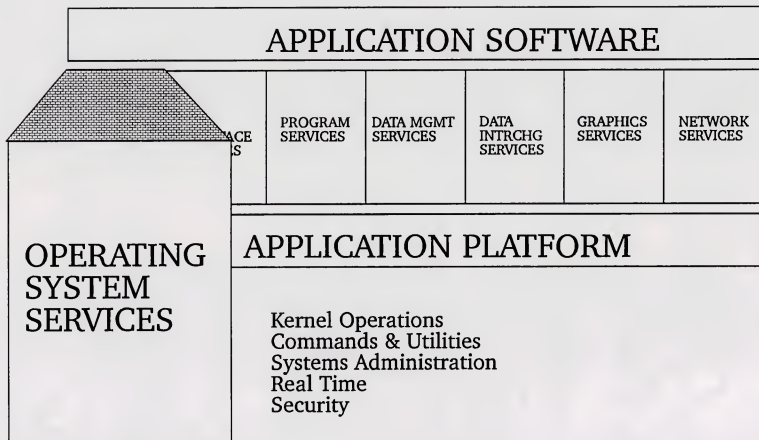
**Does Not Provide The Functionality To Meet The Needs Of A
Broad Range Of Applications**



OSE Services









APPLICATION SOFTWARE

OPERATING
SYSTEM

PROGRAM
SERVICES

DATA MGMT
SERVICES

DATA
INTRCHG
SERVICES

GRAPHICS
SERVICES

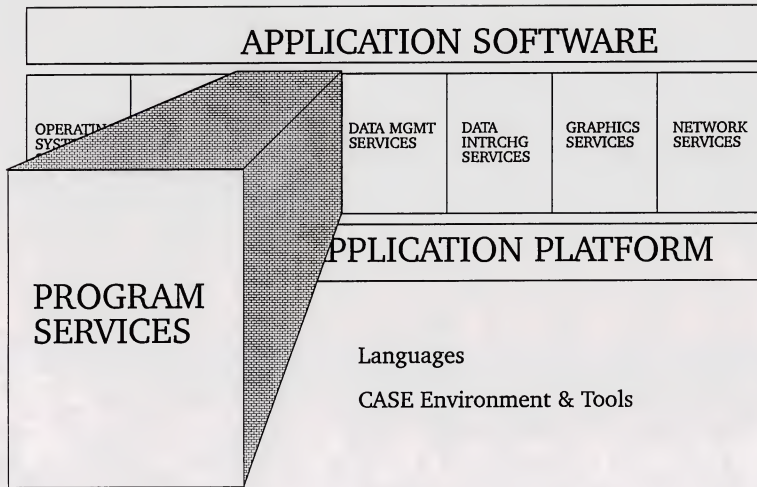
NETWORK
SERVICES

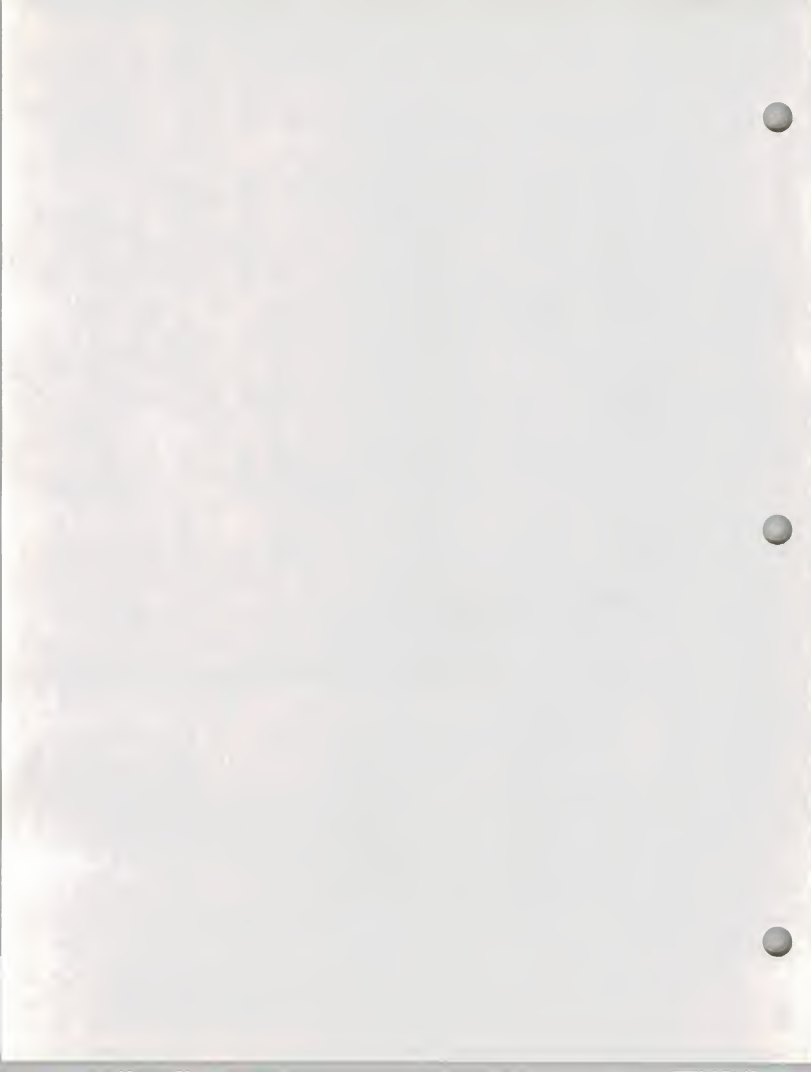
USER
INTERFACE
SERVICES

APPLICATION PLATFORM

Client-Server Operations
Object Definition & Management
Window Management
Dialogue Support







APPLICATION SOFTWARE

OPERATING
SYSTEM
SERVICES

DATA
INTRCHG
SERVICES

GRAPHICS
SERVICES

NETWORK
SERVICES

DATA MGMT
SERVICES

ION PLATFORM

Data Dictionary/Directory
Query
Reporting

Application Software

OPERATING
SYSTEM
SERVICES

USER
INTERFACE
SERVICES

PROGRAM
SERVICES

DATA MGMT
SERVICES

NETWORK
SERVICES

Application Platform

Documents
Graphic Data
Product Descriptions

DATA
INTRCHG
SERVICES



APPLICATION SOFTWARE

OPERATING
SYSTEM
SERVICES

USER
INTERFACE
SERVICES

PROGRAM
SERVICES

DATA MGMT
SERVICES

DATA
INTRCHG
SERVICES

APPLICATION PLATFORM

Display Element Management

Graphical Object Attribute Management

GRAPHICS
SERVICES

APPLICATION SOFTWARE

OPERATING
SYSTEM
SERVICES

USER
INTERFACE
SERVICES

PROGRAM
SERVICES

DATA MGMT
SERVICES

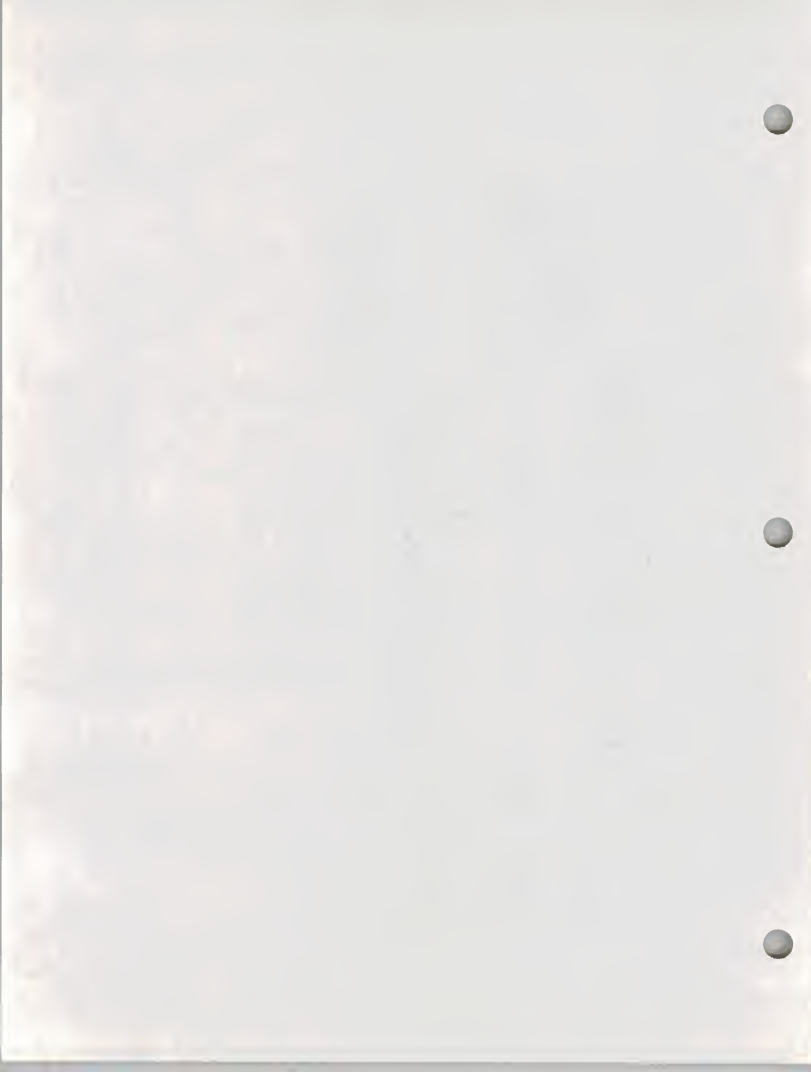
DATA
INTRCHG
SERVICES

GRAD
SERV

APPLICATION PLATFORM

Data Communications
Transparent File Access
PC Support
Remote Process Execution

NETWORK
SERVICES



NIST Open System Efforts

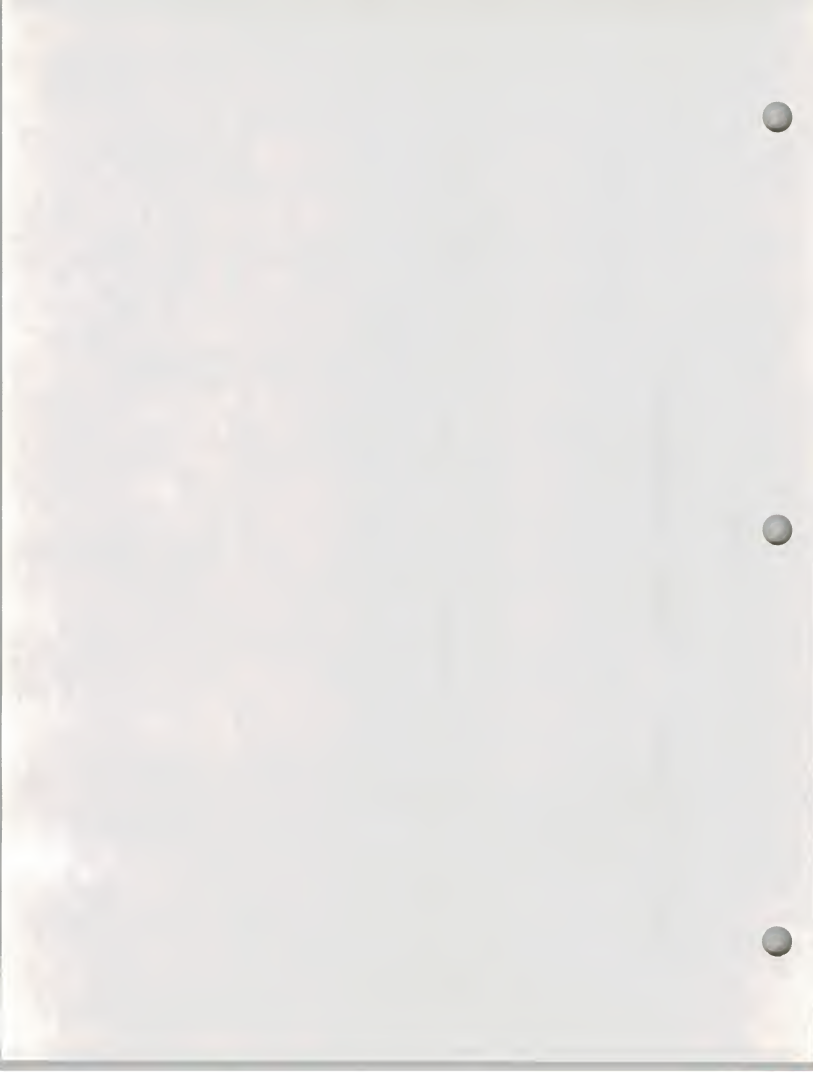


Goal

Worldwide Acceptance Of And Commitment To

*Open System Environments Which Facilitate Portability,
Interoperability, And Scalability Of Applications
Software*

- *A Set Of Non-Proprietary Specifications To Be
Used To Competitively Procure Such Environments*
- *A Set Of Tests To Be Used To Measure
Conformance To Those Specifications*



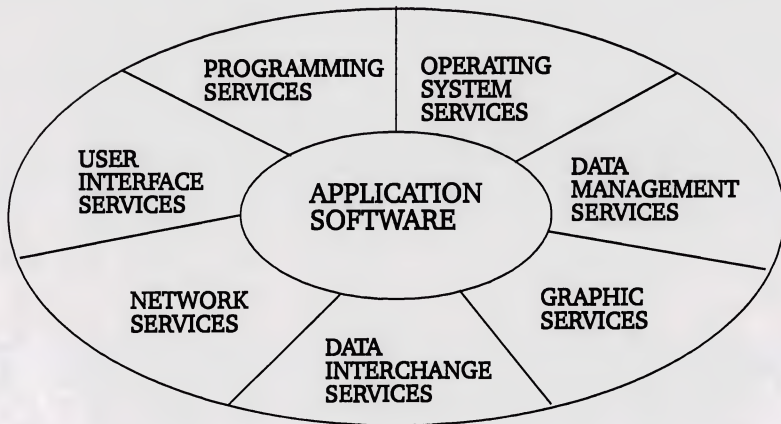
Profile

*A Suite Of Specifications Describing The Functionality
Required To Accommodate A Specific Class Of Applications*

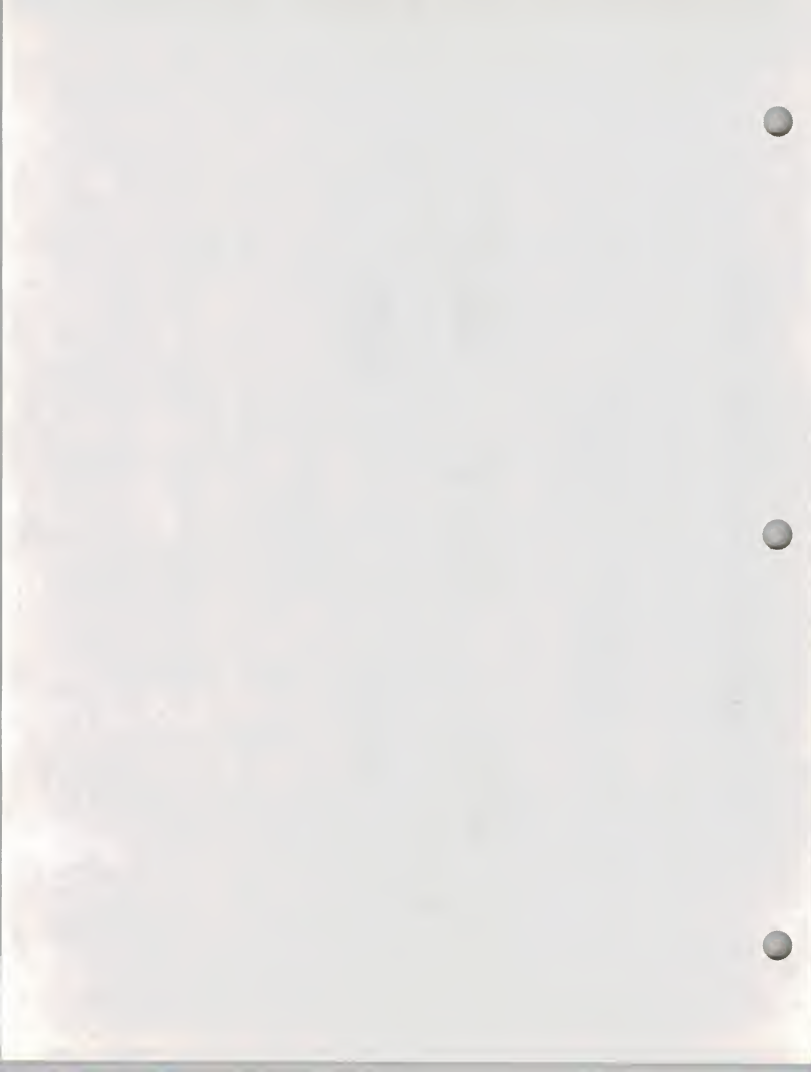
Developing A Profile Involves

- *Identifying Required Services And Interfaces*
- *Choosing Among Alternative Specifications*
- *Tailoring The Specifications*
- *Augmenting The Specifications*

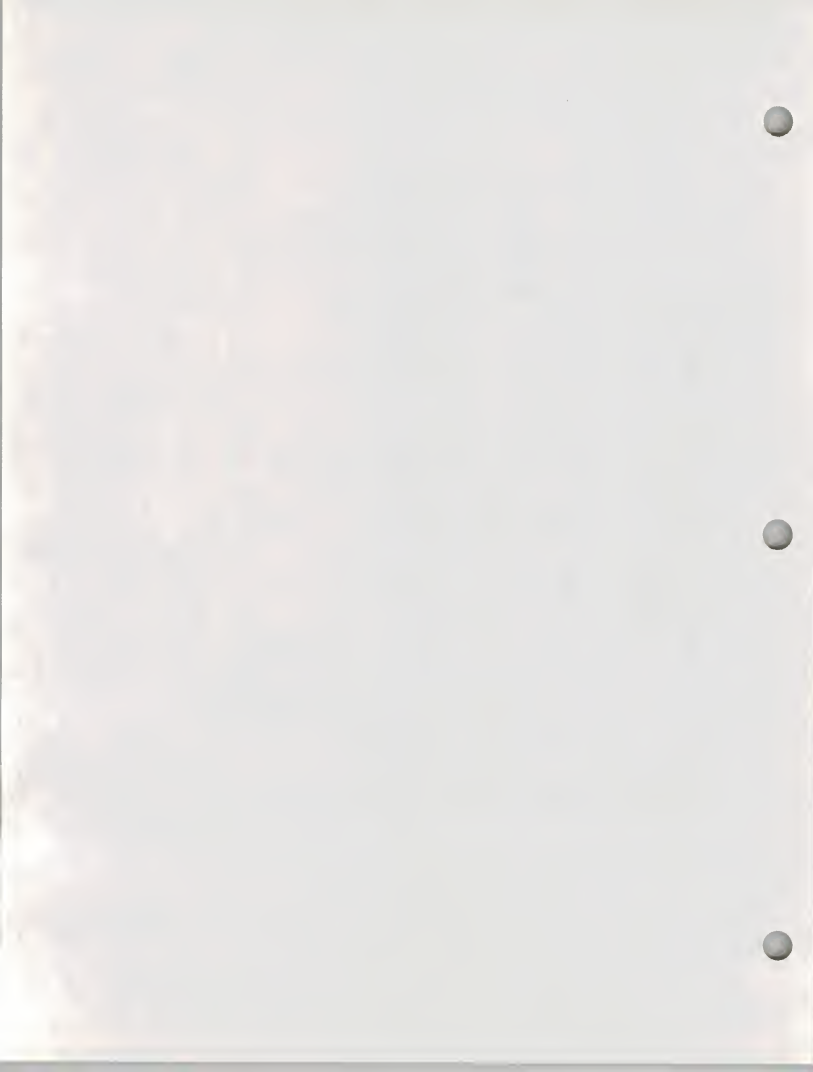
The Applications Portability Profile



The U.S. Federal OSE Profile

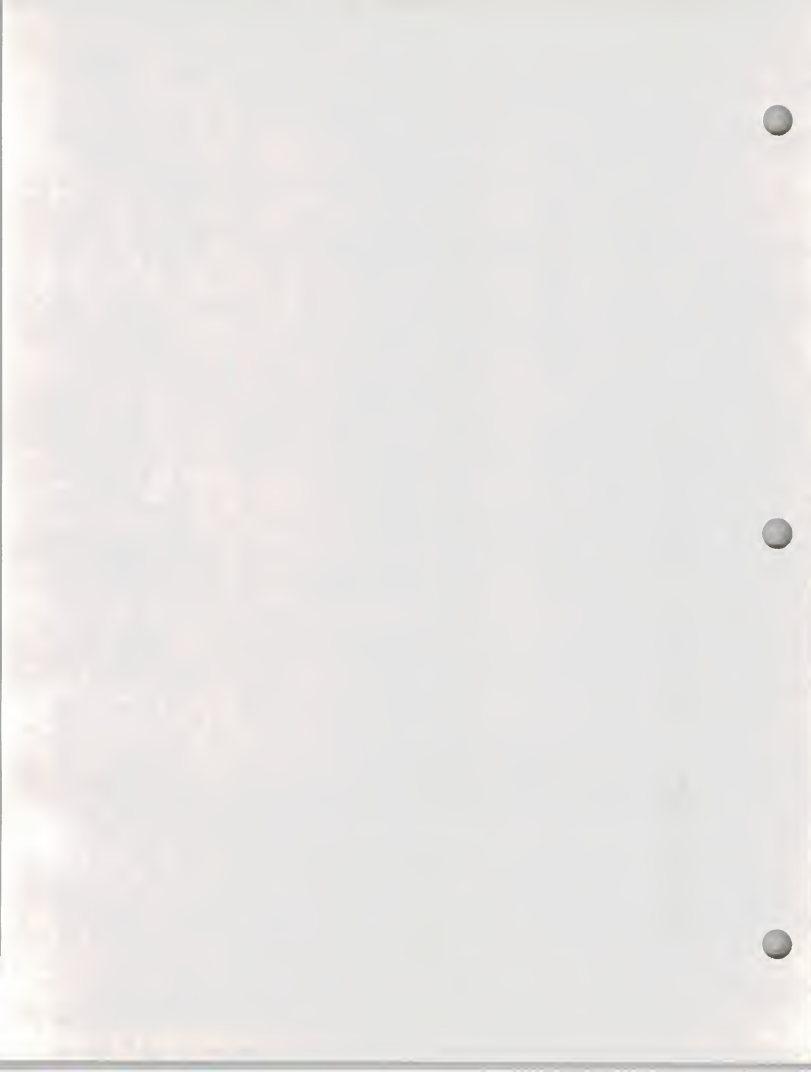


- *An OSE Profile Developed To Meet The Application Needs Of The U.S. Federal Government*
- *The Interfaces, Services, Protocols, And Supporting Formats Specified Reflect A Broad Spectrum Of Applications*
- *The Specifications Have Been Tailored To Enhance Portability And Interoperability Of U.S. Federal*
 - *Software*
 - *Systems*
 - *Personnel*
 - *Data*



The APP Effort Currently Is Focused On

- *Adding Additional Services To The Operating System Component Of The APP*
- *Defining The Network Services Component Of The APP*
- *Defining The User Interface Component Of The APP*



The APP Effort Is Guided By

- *A Concern For Timeliness*
- *A Commitment To Voluntary Standards*
- *A Need For An Architectural Framework*

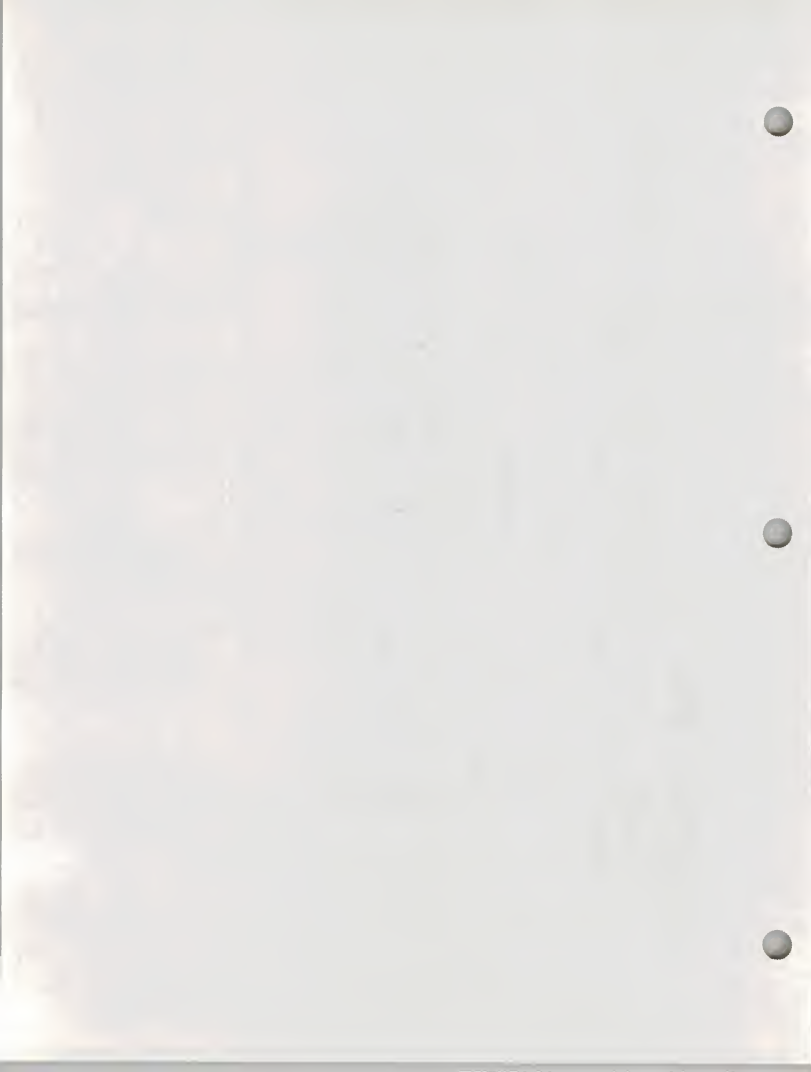
The APP Effort Will Produce

- *Planning Guidelines To Help Agencies Develop Strategies To Realize The Benefits And Minimize The Risks Of Open System Environments*
- *Usage Guidelines To Help Agencies Deal With Issues Involved With The Implementation, And Migration Of Applications Based On Open System Environments*
- *Technical Specifications That Provide Guidance To Buyers Of Open System Products And Services*

NIST APP Workshops

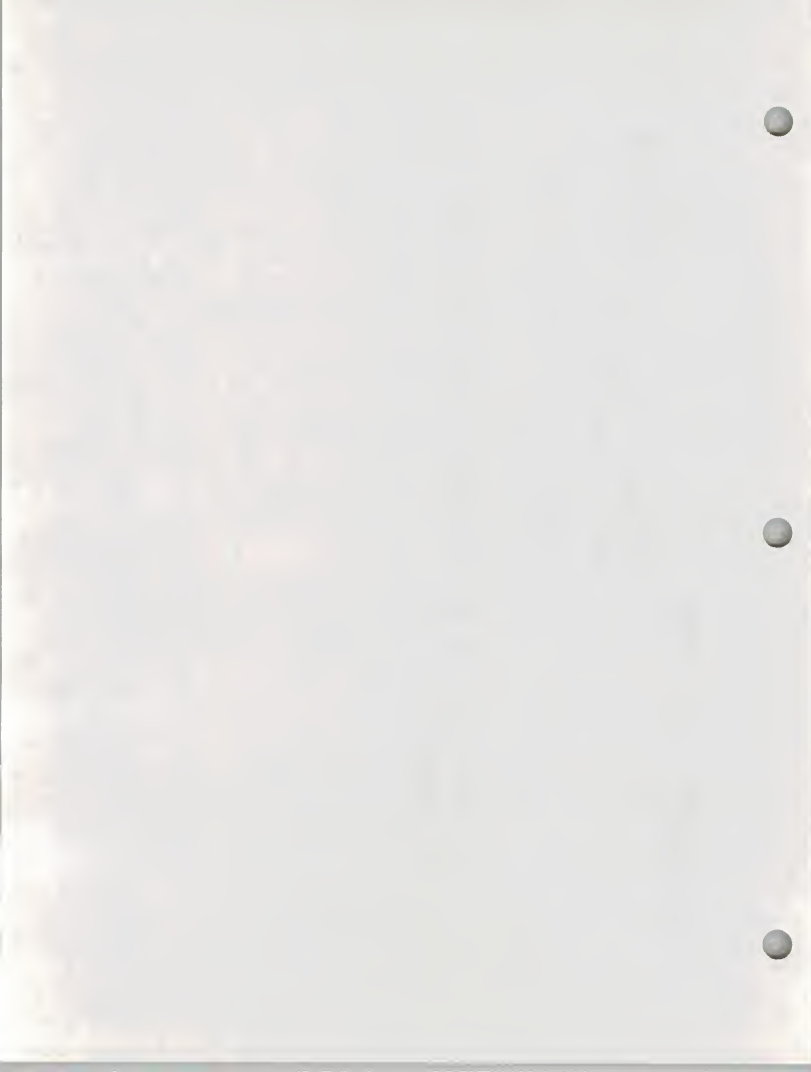
*Provide A Forum For Communicating Information And
Obtaining Feedback On The Evolving APP*

- *User Workshops Address Issues Of Special
Concern For Those Interested In Using APP
Specifications In Procurements*
- *Implementors Workshops Address Issues Of
Special Concern To Those Interested In Building
Products To The Evolving APP Specifications*



Current APP Specifications

- *Many Of The Specifications Have Not Yet Evolved Into National Or International Standards*
- *A Significant Number Of The Specifications Have Already Been Adopted As FIPS*
- *Some Of The Specifications Reflect Standards Work In Progress*



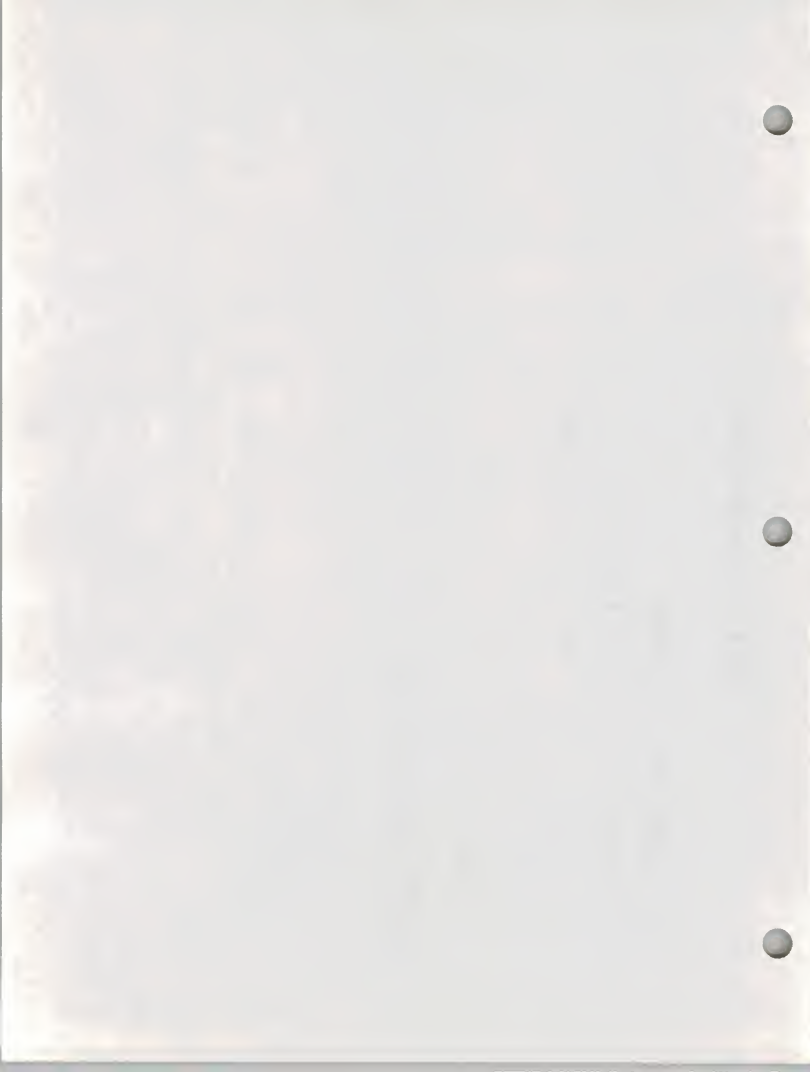
APP Specifications

Operating System Services

- * Kernel Operations - (POSIX) FIPS 151-1*
- * Commands & Utilities - P1003.2- Proposed FIPS*
- * Systems Administration - P1003.7*
- * Security - P1003.6*

Programming Services

- * Languages*
 - C - X3J11/88-002*
 - COBOL - FIPS 021-2*
 - FORTRAN - FIPS 069-1*
 - ADA - FIPS 119*
 - PASCAL - FIPS 109*
- * CASE Environments & Tools*



Data Management Services

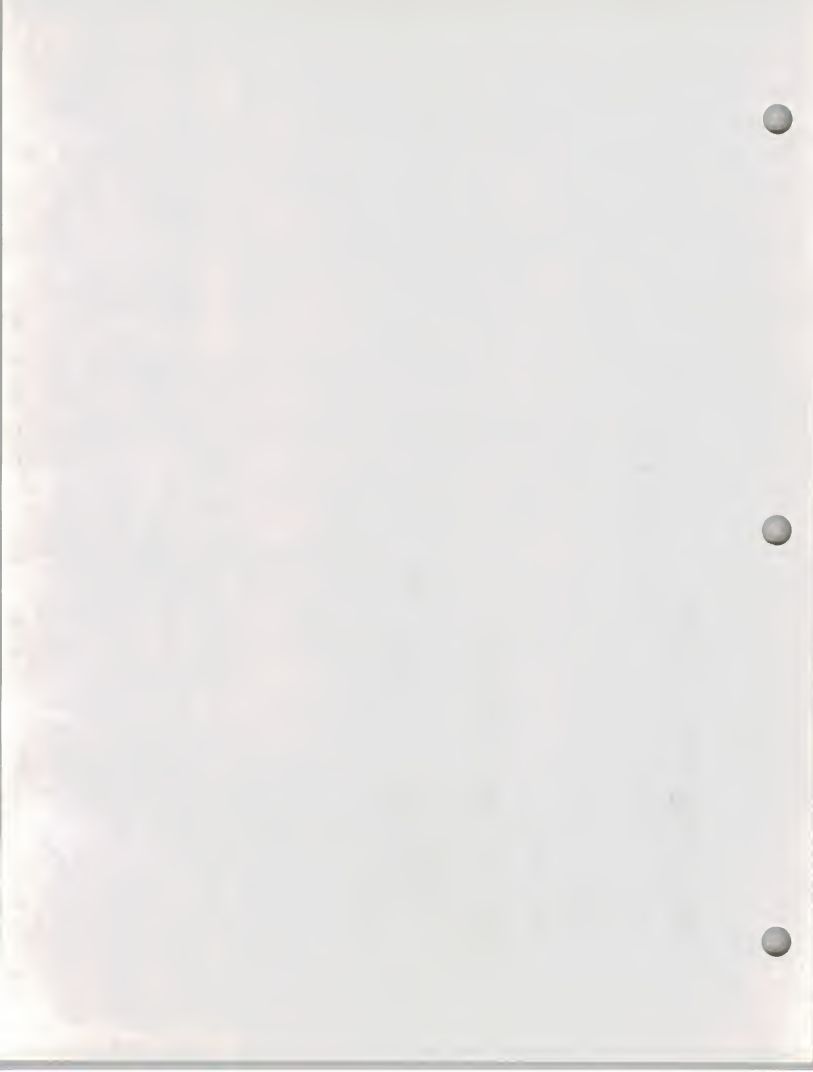
- * *Data Dictionary/Directory (IRDS) FIPS 156*
- * *Query (SQL) FIPS 127*
- * *Reporting*

Data Interchange Services

- * *Documents*
 - *SGML - FIPS 152- Proposed FIPS*
 - *ODA/ODIF - ISO/IS 8613*
- * *Graphic Data - (CGM) FIPS 128*
- * *Product Descriptions - (IGES) NBSIR 88-3813*

Graphic Services

- * *Display Element Management - (GKS) FIPS 120*
- * *Graphical Object Attribute Management - (PHIGS) - FIPS 153*

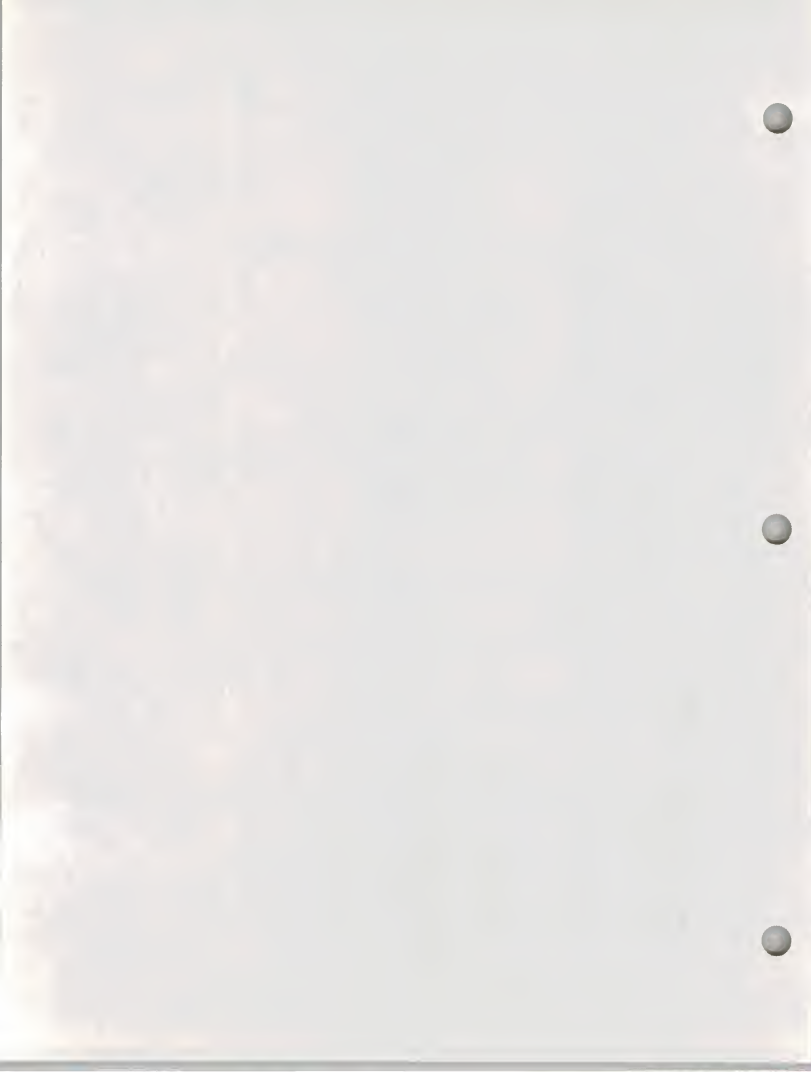


User Interface Services

- * *Client-Server Operations (XWindow System) - FIPS 158*
- * *Object Definition & Management (XWindow System) - FIPS 158*
- * *Window Management - P1201*
- * *Dialogue Support - P1201*

Network Services

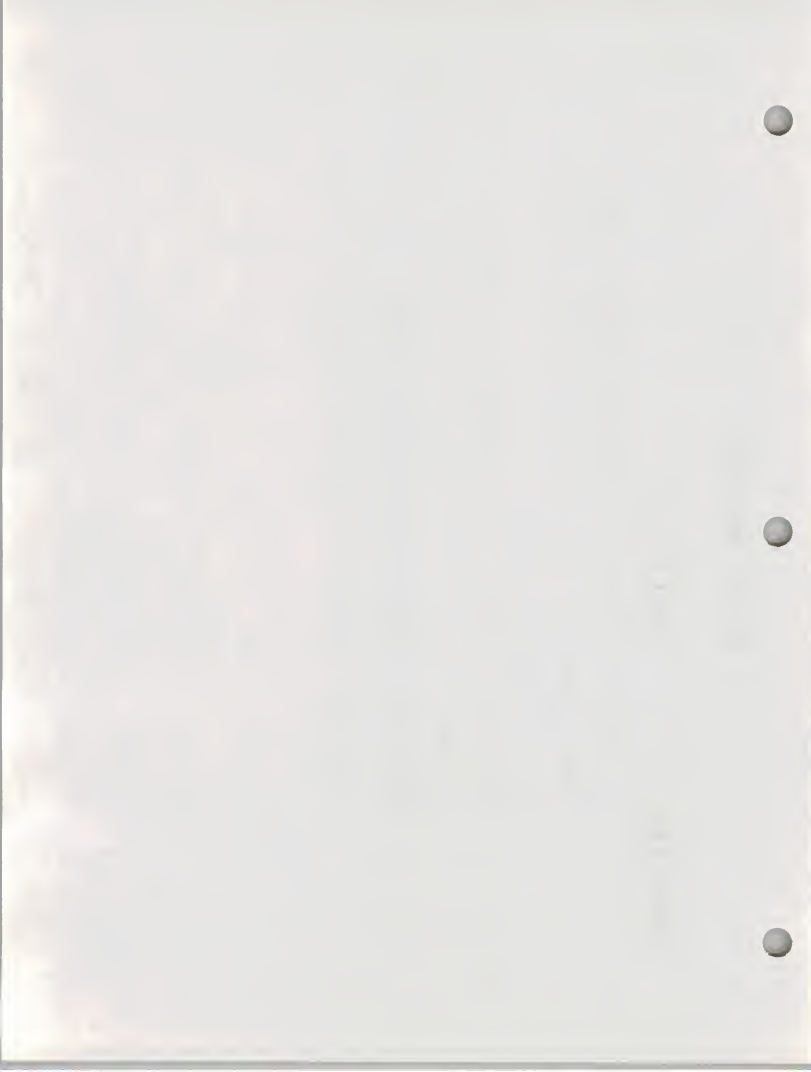
- * *Data Communications - (GOSIP) - FIPS 146*
- * *Transparent File Access - P1003.8*
- * *Remote Process Execution - P1003.8*



Basic Strategy

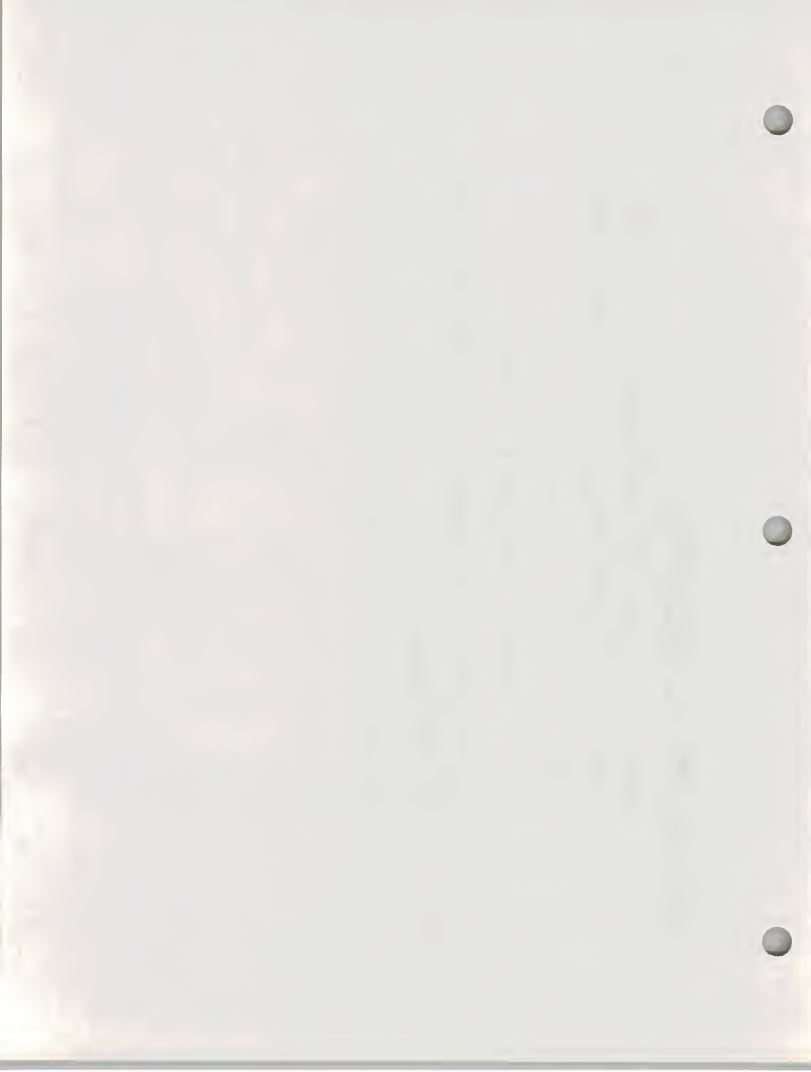
Evolve The APP As An Open Process

- *Use The Products Of Voluntary Standards And Other Consensus-based Activities As The Basis For APP Specifications*
- *Initiate New Standards Developments Where Needed*
- *Maintain An International Perspective*
- *Promote Stability Via Stepwise Evolution*



Build Consensus On Open Systems

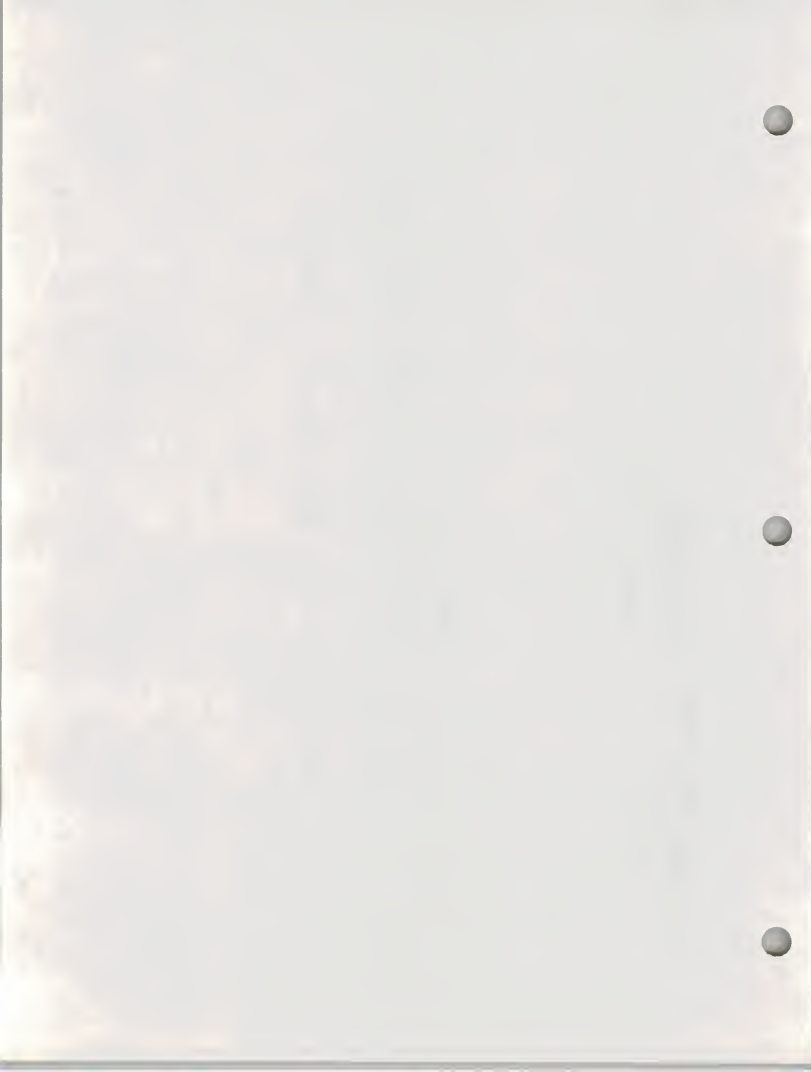
- *Actively Support And Participate In Voluntary Standards And Other Consensus-Based Activities*
- *Obtain Vendor Commitment To Use Specifications In Building Products*
- *Obtain User Commitment To Use Specifications In Procurements*
- *Harmonize Approaches To Conformance Testing*



Develop Collaborative Partnerships

By Working Cooperatively With:

- *U.S. Federal Agencies*
- *Private Sector Users*
- *Vendors*
- *Standards Committees*
- *Open Systems Consortia (e.g., OSF, UNIX Intl., X/OPEN)*
- *Other National And Regional Governments (e.g. CEC, UK, Canada)*

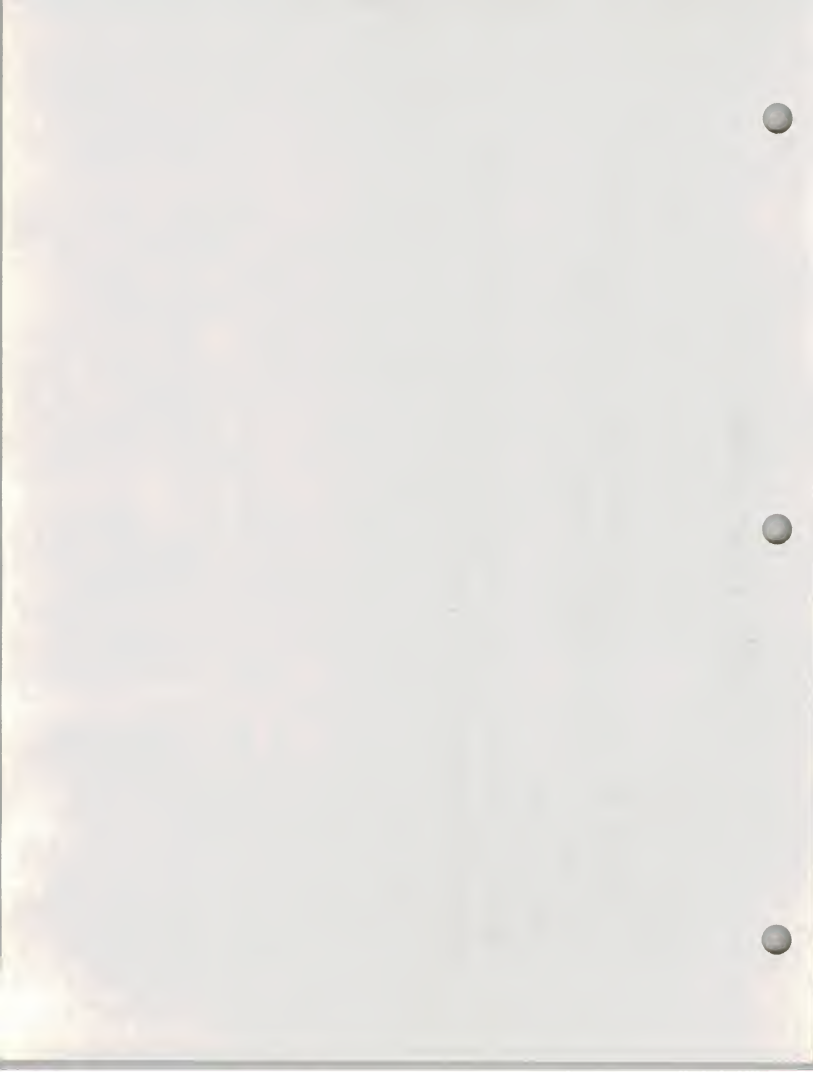


APP Users Guide

Goal

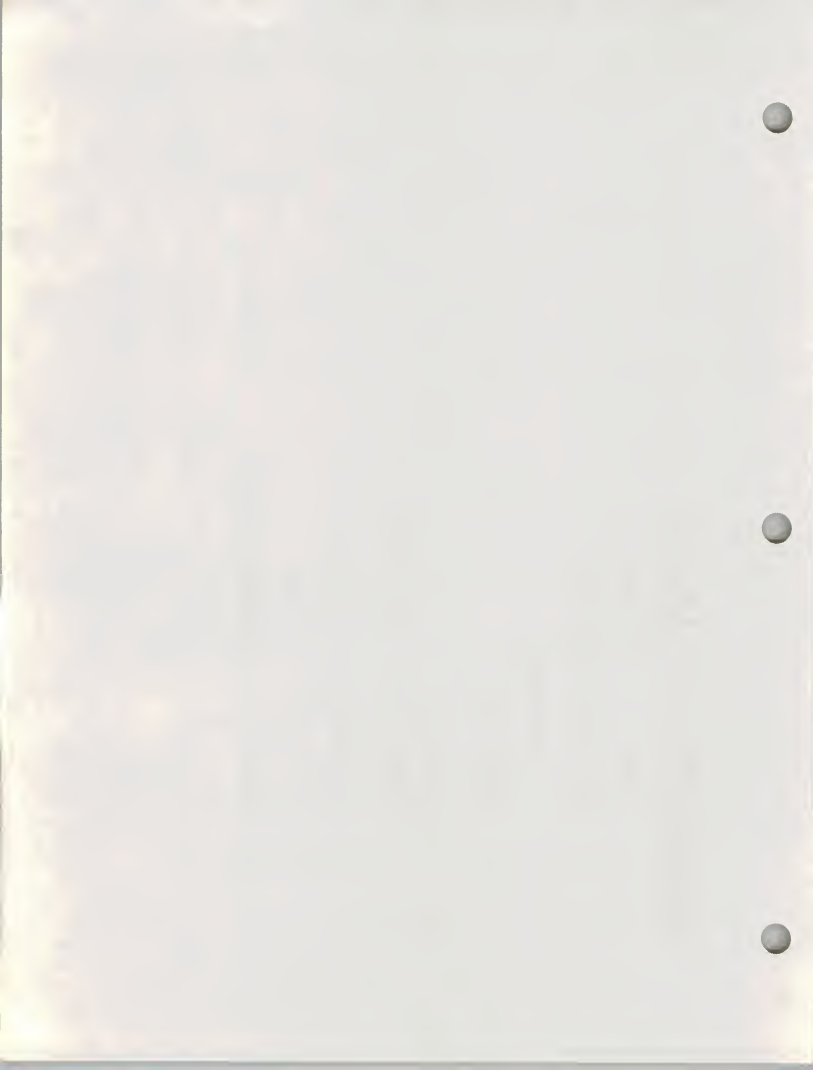
*To Help U.S. Federal Agencies Make Informed Decisions On
The Selection And Use Of The APP Specifications In
Procurements.*

*Special Emphasis Is Placed On Providing Guidance In Those
Areas Where Formal Standards Do No Exist.*



Specification Evaluation Criteria

- *Level of Consensus*
- *Product Availability*
- *Completeness*
- *Maturity*
- *Stability*
- *Risks Of Not Specifying*
- *Problems/Limitations*
- *Conformance Tests*
- *Alternative Specifications*



Questions

When Will The Guide Be Ready For Distribution?

- *Draft Currently Out For Public Review.*

How Can I Get A Copy?

- *Write To:*

NIST

ATTN: APP Users Guide

Technology Building, Room B266

Gaithersburg, MD 20899

How And When Will It Be Updated?

- *Every Six Months.*

Who Determines What Goes Into It?

- *NIST In Consultation With Our Collaborative Partners.*



Allen L. Hankinson
Chief—Systems and Software Technology Division
National Institute of Standards and Technology
National Computer Systems Laboratory

PROFILE

Mr. Hankinson is responsible for the development of standards and guidelines in the areas of office systems engineering and software engineering. Program activities include advisory services to federal agencies and research in the areas cited above.

Mr. Hankinson was previously a member of the staff of the Director of the Institute. In that capacity, he was responsible for studies and analyses to identify alternative technical areas that should be considered in planning the Institute's future programs. Prior to joining the Institute, Mr. Hankinson served as Director of the computer facility at the Executive Office of the President and as Chief of the systems development branch within the Office of Management and Budget.

Mr. Hankinson received a B.S. in Mathematics from Florida A&M University and a Masters of Computer Science from the University of Virginia. He is active professionally as Chairman of the UNIFORM Technical Steering Committee.

